



AUSTRAC file list

1 January–30 June 2014

This is a list of relevant file titles created by AUSTRAC between 1 January 2014 and 30 June 2014. It is in accordance with the Senate Continuing Order concerning the production of indexed file title lists, which requires each Minister to table a list of relevant file titles created by their department and portfolio agencies. The original Senate Order was amended in 1998 to require departments and agencies to display their file lists on their websites.

File number	Title
13/1224/B	Applications for waiver and disputes - 2013-14 levy period
14/001	AC600 - MimeSweeper Software Licence
14/002	AC601 - IT Project Manager Procurement
14/140	Domestic Policy correspondence relating to AML/CTF and FTR Acts 2014-2015
14/141	Domestic Policy Questions and Decisions - *****
14/185	Remittance Sector Register Notices 2011-2014 - Independent and Network Providers
14/186	2012-13 Assessments - Major Reporters
14/201	Enterprise Agreement 2014-2017
14/242	RMIT – ARC Research Project 2013 - 2015
14/271	Executed instruments of delegation and direction 2014-2015
14/448	AC715 - IT Support Analyst
14/459	AC598 - Basement Storage Licence for B 2.04
14/461	AC585 - Training in ***** software
2014/100	AC608 - Department of Communications Research Services Panel SON1143842
2014/101	INTL MOU - Kazakhstan
2014/102	AUSTRAC Long Service Awards 2014-2015
2014/103	AUSTRAC Long Service Awards 2014-2015 - Planning
2014/104	AUSTRAC Australia Day Medallions awarded January 2014 - Planning
2014/108	AC595 - advice on amendments to the Rules
2014/110	AC594 - Project Manager for Supervision business unit
2014/111	Indonesia PAPP 2014-2015 - International deployment of AUSTRAC staff
2014/112	Government Deregulation Policy and Agenda 2014
2014/113	Requests for International Visit Programs declined 2014-2015
2014/114	Registration applications - reporting entity correspondence 2014
2014/115	14/458 - AC611 - IT Comms Team Leader
2014/116	Indonesia PAPP 2014 - Logistics and Arrangements

File number	Title
2014/117	Indonesia PAPP 2014 - ITA&T planning
2014/118	Indonesia PAPP 2014 - Internal and External Reporting
2014/119	Indonesia PAPP 2014 - Budget Management
2014/120	Indonesia PAPP 2014 - Stakeholder Liaison
2014/121	Indonesia PAPP 2014 - Project 1 - IFTIs
2014/122	Indonesia PAPP 2014 - Project 1 - Financial approvals and expenses
2014/123	Indonesia PAPP 2014 - Project 2 - Enhancing ML investigations
2014/124	Indonesia PAPP 2014 - Project 3 - National risk assessment
2014/125	Indonesia PAPP 2014 - Project 4 - Analyst exchange program
2014/126	Indonesia PAPP 2014 - Project 5 - E-learning application
2014/127	Indonesia PAPP 2014 - Project 6 - INP regional coordination
2014/128	Indonesia PAPP 2014 - Project 7 - OJK coordination
2014/129	Indonesia PAPP 2014 - Project 2 - Financial approvals and expenses
2014/130	Indonesia PAPP 2014 - Project 3 - Financial approvals and expenses
2014/131	Indonesia PAPP 2014 - Project 4 - Financial approvals and expenses
2014/132	Indonesia PAPP 2014 - Project 5 - Financial approvals and expenses
2014/133	Indonesia PAPP 2014 - Project 6 - Financial approvals and expenses
2014/134	Indonesia PAPP 2014 - Project 7 - Financial approvals and expenses
2014/15	14/003 - AC603 - ITSM software maintenance renewal - *****
2014/158	14/131 - AC613 - IT UNIX Administrator
2014/159	14/132 - AC614 - IT Windows Team Leader
2014/160	14/133 - AC616 - IT UNIX Administrator
2014/161	14/135 - AC612 - IT Oracle DBA
2014/162	14/136 - AC615 - IT Oracle DBA
2014/163	14/137 - AC617 - Business Operating Model Consultancy
2014/164	SARISA Regional Workshop 2014 - Policy and Reports
2014/165	SARISA Regional Workshop 2014 - Liaison
2014/166	SARISA Regional Workshop 2014 - Logistics
2014/167	SARISA Regional Workshop 2014 - Financial Approvals and Expenditure
2014/168	SARISA Bangladesh 2013-14 - Financial Approvals and Expenditure
2014/169	Correspondence with Regulated Entities 2014
2014/173	RE Ops review of operating processes 2013-2014
2014/177	AC618 - Senior Windows Engineer
2014/178	Dissemination of National Risk Assessment on Terrorism Financing 2014-2015
2014/179	Sanitised National Risk Assessment on Terrorism Financing 2014
2014/18	PSLP IGiSEA - Thailand Workshop March 2014 - Planning & content
2014/180	Partner agency case study request correspondence 2013-2014
2014/185	AC610 - Cross Border cash currency training in Indonesia - *****
2014/186	AUSTRAC hosted IFTI workshop - June 2014

File number	Title
2014/19	PSLP IGiSEA - Thailand Workshop March 2014 - Financial approvals & expenditure
2014/192	AC619 - Major Reporters - twice yearly forum at The Concourse in Chatswood
2014/194	14/147 - AC609 - Consultancy to review new external auditor applications
2014/214	AC620 - Defence Oracle Software Standing Offer - SON838951
2014/215	APG Pacific Typologies Workshop, New Zealand, January 2014
2014/218	AC621 - Customised Training *****
2014/219	14/172 - AC622 - Provision of internal audit services
2014/220	INTL MOU - Gibraltar
2014/226	Interagency Group on PNG Anti-Corruption and Proceeds of Crime Issues
2014/23	Operations Division - Business Operating Model Consultancy 2014-15
2014/237	Review of the AML/CTF Act - Submissions made to the Issues Paper Dec 2013
2014/239	Retention Notice - RC into Trade Union Governance and Corruption 2014
2014/242	AML/CTF Conference - 2011 - Website Snapshot
2014/243	ATO Project Wickenby - Liaison
2014/245	14/194 - AC624 - Legal Services and the personal property securities register
2014/247	Review of the AML/CTF Act - legislative reform priorities - repeal FTR Act
2014/249	14/162 - AC625 - Acting Director - IT Operations
2014/253	Planning, Coordination & Relief - Request for advice
2014/259	Enterprise Content Management - ECM - Implementation
2014/260	14/202 - AC632 - Enterprise Content Management - Integration & ongoing support
2014/265	14/451 - AC628 - Real Time Call Manager service
2014/266	14/203 - AC630 - Licence & Support Contract – COTS software
2014/267	14/204 - AC631 - Asset Valuation Services
2014/272	Internal Publication of OnTrac 2014
2014/273	14/213 - RE-Ops Team Planning 2014-2017
2014/274	14/211 - AC629 - External legal advice - Personnel Matter 17/01/2014
2014/275	14/212 - AC633 - Business Analyst (Technical Lead)
2014/276	AC627 - External legal advice - Options for Cost Recovery
2014/277	PSLP - Thailand Workshop July-Sept 2014 - Financial approvals & expenditure
2014/278	PSLP - Philippines Workshop - May 2014 - Planning and content
2014/279	PSLP - Philippine Workshop - May 2014 - Financial approvals & expenditure
2014/280	PSLP - Thailand Workshop July-Sept 2014 - Planning and content
2014/281	14/214 - AC634 - Information Management Contractors
2014/282	14/215 - AC635 - Legal Advice - AUSTRAC Information under AML/CTF Act
2014/283	14/216 - AC636 - ***** Software Licence - Annual Support and Maintenance
2014/284	14/217 - AC637 - Senior Analyst Programmer - PL/SQL
2014/285	14/218 - AC638 - Senior Analyst Programmer - Java
2014/286	14/219 - AC639 - Senior Analyst Programmer - PLSQL
2014/287	14/220 - AC640 - ***** licence

File number	Title
2014/288	14/221 - AC641 - Senior Analyst Programmer
2014/293	14/248 - AC642 - IT Network Communication Equipment
2014/294	Remittance Sector Register - request for material changes
2014/297	Externally received material – Current Affairs 2014
2014/298	Externally received material – Money Laundering and Financial Crime 2014
2014/299	Externally received material – Finance Industry News and Regulation 2014
2014/30	14/265 - AC605 - Senior Analyst – Infrastructure / Middleware - January 2013
2014/304	14/249 - AC643 - Further draft advice regarding section 122 of the AML/CTF Act
2014/305	14/252 - AC644 - Technical Writer for Enhanced Analytical Capability Project
2014/306	14/250 - PCR Purchases 2013-14
2014/31	Website Review 2014-2015
2014/311	Typologies and case studies report 2014
2014/312	SARISA Regional Workshop 2014 - Agenda and Content
2014/313	Standard Operating Procedures - Approved Forms Register
2014/314	PJC on Law Enforcement - Inquiry into financial related crime
2014/315	Egmont OpWG - ML/TF through the use of digital currencies project 2014
2014/318	14/254 - AC646 - Accounting Services Panel - SON344817- DEEWR / SSC
2014/319	14/255 - AC645 - Business Analyst
2014/320	14/256 - AC648 - IFTI workshop, Sydney December 2014
2014/321	14/257 - AC650 - ASLO workshop June 2014 Melbourne for Intelligence
2014/322	RE Ops Cost Recovery Impact Statement (CRIS) Campaign - April 2014
2014/323	RE Ops Typologies and Case Study Reports Campaign - April 2014
2014/324	RE Ops Updated Countermeasures Campaign - April 2014
2014/331	AUSTRAC Statement of Strategic Intent 2014-2015
2014/334	14/270 - AC653 - Data Centre Project - Additional Flash Storage
2014/335	Senate Estimates 2014 - May
2014/337	Executed instruments of delegation and direction 2014-2015
2014/338	14/272 - AC651 - Physical Security components and installation
2014/339	14/273 - AC649 - ***** Software Licence for Disaster Recovery
2014/341	AML/CTF Rules - amendment of Chapter 4 - electronic safe harbour
2014/344	14/274 - AC652 - Microsoft VSA II - True-up Year 1
2014/347	14/278 - AC654 - PMO Project Officer
2014/348	14/279 - AC655 - EAC Geospatial Development and Integration Services
2014/349	14/280 - AC656 - Remitters Team - AGS Resource
2014/350	14/281 - AC661 - ***** Business Intelligence Training
2014/351	14/282 - AC663 - Personnel Matter - Maternity Leave - February 2014
2014/352	Operations Division Conference - June 2014
2014/358	14/302 - Royal Commission into Trade Union Governance and Corruption
2014/359	14/285 - AC665 - PMO Project Officer

File number	Title
2014/360	12/284 - AC664 - PMO Project Officer
2014/361	14/286 - AC671 - Diverse Audiences Service - SON348919 - ATO (Ref: ATM ID09.239)
2014/362	Foreign and Investment Banks - assessment of compliance findings 2014-15
2014/363	AC666 - Team Leader - Systems Design
2014/364	14/381 - AC667 - Senior Development DBA - Systems Design
2014/365	AC668 - Data Architect - Systems Design
2014/366	AC669 - Team Leader - Application Development and Support
2014/367	AC670 - Senior Analyst / Programmer - PL/SQL
2014/369	AUSTRAC / AGD Government Partnerships for Development - 2013
2014/372	14/291 - AC662 - AML/CTF Act - AAT Act Legal advice
2014/373	14/292 - AC672 - Consultancy for Corporate Division KPIs
2014/376	FATF Plenary Meeting Paris June 2014
2014/377	FATF Risks Trends & Methods Group (RTMG) Project - Digital Currencies
2014/379	14/297 - AC674 - Senior Analyst / Programmer - A.D. & Support
2014/380	14/298 - AC676 - Senior Analyst / Programmer - Java / J2EE / System Design
2014/381	14/299 - AC675 - Team Leader Supervisory Systems
2014/382	14/300 - AC677 - Senior Test Analyst (Technical) - Quality Assurance
2014/383	HOCOLEA 58 reports and papers
2014/384	RE Ops Customer Due Diligence AML/CTF Rules update campaign - May 2014
2014/385	14/303 - AC679 - Networker Back-up Software Training
2014/386	14/304 - RE Operations Procurement and Acquisitions 2014-15
2014/388	APG - Asia Pacific Group Annual Meeting Macao July 2015
2014/389	14/305 - AC678 - Consultancy for Facilitator - Corporate Services Workshop
2014/390	14/306 - AC673 - RE Operations Contractor
2014/392	AUSTRAC Strategy for reducing regulatory burden 2014
2014/393	AUSTRAC Budget Initiative 2014
2014/394	14/307 - AC681 - ***** Software
2014/395	14/308 - AC682 - ***** Hardware
2014/396	14/310 - AC683 - Finance Team Contractor
2014/397	Annual Report 2013-14 Planning
2014/398	Annual Report 2013-14 Contributions
2014/399	Annual Report 2013-14 Drafts
2014/400	Indonesia PAPP 2014-2015 - Deployment - Financial approvals and expenses
2014/402	14/314 - AC684 - Business Analyst
2014/403	14/315 - AC685 - Business Analyst
2014/404	14/316 - AC686 - Workers Compensation Insurance 2014-15 - Comcare
2014/405	14/317 - AC687 - Comcover Member Services Insurance 2014-15 - Comcover
2014/406	14/320 - AC688 - Legal advice from Australian Government Solicitor
2014/407	14/321 - AC689 - Senior Windows Engineer

File number	Title
2014/408	14/322 - AC690 - Temporary Personnel for Data Centre Relocation
2014/413	Operations Division Strategic Plans - Compliance/Enforcement, Intelligence 2014
2014/426	AML/CTF Rules - Account-based money transfer systems
2014/427	Guidance Note - Politically Exposed Persons - PEPs
2014/429	14/327 - AC693 - MOU Service Arrangement - Australian Taxation Office (ATO)
2014/430	14/328 - AC692 - Operations Division Conference 24-25 June 2014
2014/431	14/329 - AC691 - FrontRange HEAT Software
2014/432	14/330 - AC694 - Legal Advice - Updating Delegations
2014/45	Domestic Policy Questions and Decisions 2014
2014/456	14/347 - AC695 - Facilitator at Operations Division Conference
2014/457	14/348 - AC696 - Protection of AUSTRACs interest in IT Equipment
2014/458	14/349 - AC680 - Registration of Legislative Instrument Services by FRLI
2014/459	FATF Mutual Evaluation - On-site Assessment Briefing Materials 2014
2014/46	Exemptions reviewed by Domestic Policy 2014
2014/460	FATF Australia Mutual Evaluation - Report Drafting 2014-2015
2014/463	AML/CTF Rules - exemption for on-course bookmakers
2014/466	14/408 - AC698 - Principles of Decision Making Training
2014/467	14/380 - AC697 - APSC Performance Management Training
2014/468	FATF Mutual Evaluation - On-site Logistics and Arrangements 2014
2014/469	FATF Mutual Evaluation - On-site Requests for Information 2014
2014/47	External Documentation Reviewed by Domestic Policy 2014
2014/470	KPI Review for Corporate Division and Finance Branch 2014
2014/476	Business Continuity Coordination Group 2014-2017
2014/477	Web Content Accessibility Guidelines (WCAG) 2.0 AA - planning 2014 - 2015
2014/478	14/424 - AC699 - Facilitation Services - Operations Division Conference
2014/479	14/425 - AC700 - IT Systems Team Leader
2014/48	Internal Documentation Reviewed by Domestic Policy 2014
2014/480	14/426 - AC701 - Software Licence for ***** Data Modeling
2014/484	14/427 - AC702 - Business Analyst for IT Projects
2014/485	14/428 - AC703 - UPS Power Batteries
2014/49	2014 - Technical and non-controversial amendments to the AML/CTF Act
2014/493	14/431 - AC705 - Office Task Chairs
2014/494	14/432 - AC706 - Senior Test Analyst - Quality Assurance
2014/495	INTL MOU - Seychelles
2014/50	Submissions and briefs prepared by Domestic Policy 2014
2014/500	Review of the AML/CTF Act - PIR of Chapters 58 and 59 AML/CTF Rules
2014/501	14/436 - AC707 - Information Management Team Contractor
2014/502	14/437 - AC704 - Legal Advice on Compliance Matter
2014/504	INTL MOU - Trinidad and Tobago

File number	Title
2014/507	Egmont Sponsorship - Tonga
2014/508	Egmont Sponsorship - Kiribati
2014/509	INTL MOU - Turks and Caicos Islands
2014/51	14/015 - 2014 Review of AUSTRAC CEO Delegations per AML/CTF Act
2014/514	14/440 - AC709 - Accountable Authority Instructions
2014/515	14/441 - AC710 - Training Public Governance, Performance Accountability Act 2013
2014/516	14/442 - AC711 - Business Analyst
2014/517	14/443 - AC712 - Business Analyst
2014/518	14/444 - AC713 - Senior Analyst Programmer - PL/SQL
2014/519	14/445 - AC714 - Senior Analyst Programmer
2014/52	14/016 - Public Interest Disclosure Policy
2014/520	Major Reporters Forum - October 2014
2014/524	RE Ops Work Requests 2014-16
2014/525	14/447 - Reporting Entity Roll - Offline Enrolments 2014-2015
2014/526	Monthly Electronic Reporting Book 2014-15
2014/527	RE-Ops Research and Development 2014-16
2014/529	14/441 - AC715 - IT Support Analyst
2014/53	AC606 - Transactional Banking Agreement with Reserve Bank
2014/532	14/452 - Supervision Complaints 2014-2015
2014/533	Joint Agency Agreement - National Border Targeting Centre
2014/534	Strategic Analysis business planning 2014-15
2014/535	2014-15 Assessments - Major Reporters
2014/536	2014-15 Supervision Campaigns - South North-West
2014/537	2014-15 Behavioural Assessments - South North-West
2014/538	2014-15 Desk Reviews - South North-West
2014/539	2014-15 Onsite Assessments - South North-West
2014/54	AML/CTF Rules – Amendments re CDD - beneficial ownership & PEPs
2014/540	2014-15 Report Cards - South North-West
2014/541	KPI and other reporting statistics of Supervisory group 2014-15
2014/542	14/453 - PCR Purchases 2014-15
2014/543	14/454 - AML/CTF Act - Exemption / Modification applications received 2014-15
2014/548	INTL MOU - Finland
2014/55	AML/CTF Rules – Amendments re CD - beneficial ownership & PEPs - Consultation
2014/550	APG / FATF Joint Typologies Workshop - Bangkok, Thailand - June 2014
2014/554	14/457 - AC708 - Managed File Transfer (MFT)
2014/559	14/460 - AC716 - Major Reporters Conference - October 2014
2014/563	PSLP - Cambodia Workshop August 2014 - Planning & content
2014/564	PSLP - Cambodian Workshop August 2014 - Financial approvals & expenditure
2014/565	Pacific PSLP Correspondence with FIU Counterparts - 2014-15

File number	Title
2014/566	Liaison with South Asian FIUs (Nepal, Sri Lanka, Bangladesh and India) - 2014-15
2014/568	Low Value Procurements and Contracts 2014-15
2014/569	Agency Fraud and Corruption Risk Assessment 2015 - Working Folder
2014/570	Agency Fraud and Corruption Treatment Plan 2015-2017
2014/571	SARISA Nepal 2014-15 - Logistics
2014/572	SARISA Sri Lanka 2014-15 - Logistics
2014/573	SARISA Bangladesh 2014-15 - Logistics
2014/574	SARISA Sri Lanka 2014-15 - Financial approvals and Expenditure
2014/575	Maldives - APG / AUSTRAC Capacity Building 2014-15
2014/576	SARISA Bangladesh 2014-15 - Financial Approvals and Expenditure
2014/577	Jakarta Centre for Law Enforcement Cooperation - JCLEC - Projects 2014-15
2014/579	TA&T Reporting to Commonwealth Agencies 2014-15
2014/581	ITA&T Program Coordination Matrix 2014-15
2014/583	TA&T Governance and Risk Management 2014-15
2014/585	SARISA Nepal 2014-15 - Financial approvals & expenditure
2014/59	Major Reporters Forum - April 2014
2014/601	Onsite Assessments - Compliance - Remittance Sector 2014-15
2014/602	Desk Review Assessments - Compliance - Remittance Sector 2014-15
2014/603	Campaigns - Compliance Remittance Sector 2014-15
2014/604	DQS Reports - Compliance Remittance Sector 2014-15
2014/605	Remitters Forum - Compliance Remittance Sector 2014-15
2014/606	Planning - Compliance - Remittance Sector 2014-15
2014/607	Internal Referrals - Compliance Remittance Sector 2014-15
2014/608	External Referrals - Compliance Remittance Sector 2014-15
2014/61	Business Continuity Improvement Project 2014
2014/62	AC607 - Legal advice from Australian Government Solicitor - *****
2014/63	2014 Intelligence branch coordination - briefings and other requests
2014/64	Providers of Designated Remittance Services (PoDRS) Register closure documents
2014/65	Section 233B - Reviewable Decisions 2014 - Partner Agency initiated
2014/66	Section 233B - Reviewable Decisions 2014 - Compliance
2014/67	Witness statements prepared by Compliance, Remittance Sector team - 2014
2014/69	14/030 - Reporting Entity Roll Removal Requests 2014
2014/71	INTL MOU - Austria
2014/74	Meetings with Australian Government departments and agencies 2013-14
2014/75	CEO meetings with AUSTRAC Minister 2013-14
2014/76	General Ministerial Briefs 2013-14
2014/79	Questions on Notice - The Senate and House of Representatives 2013-14
2014/80	Senate file list July to December 2013
2014/81	Senate file list January to June 2014

File number	Title
2014/96	14/109 - AC604 - Enhanced Maintenance *****
2014/97	ITA&T Internal Activity Reporting 2014
2014/99	ITA&T Program Coordination Matrix 2013-14