



## Exemption 6 of 2012

### Section 248 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

I, John Lance Schmidt, AUSTRAC Chief Executive Officer, acting under paragraph 248(1)(a) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act)*, and subject to the conditions set out in this instrument, exempt Redpoint Investment Management Pty Ltd (ABN 83 152 313 758) of 105-153 Miller Street, North Sydney, NSW, 2060 (**Redpoint**), from the following provisions of the AML/CTF Act:

- (a). Divisions 2 to 7 of Part 2 (other than section 39);
- (b). Divisions 2 to 5 of Part 3 (other than sections 42 and 44);
- (c). Section 50;
- (d). Part 3A; and
- (e). Parts 5 to 7 and 10

during any period in which all the conditions set out in this instrument are satisfied.

### Conditions specified pursuant to paragraph 248(2)(b) of the AML/CTF Act

1. Redpoint provides only the designated service described in item 33 of Table 1 in subsection 6(2) of the AML/CTF Act only to nabInvest Capital Partners Pty Ltd (ABN 44 106 427 472) (**NCP**) in respect of the service of managing the Redpoint Global Infrastructure Fund (**the Fund**) that NCP provides to nabInvest Managed Investments Limited (ABN 61 083 784 463) (**NIML**) as the responsible entity of the Fund (**the Specified Designated Service**);
2. If an event occurs that may affect Redpoint's compliance with this exemption, and/or conditions, Redpoint must notify the AUSTRAC CEO or delegate:
  - (a) within 14 days of the event occurring; or
  - (b) within such other period as is authorised in writing by the AUSTRAC CEO or delegate

otherwise, this exemption ceases to apply until Redpoint notifies the AUSTRAC CEO or delegate of the event.

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John Lance Schmidt  
CHIEF EXECUTIVE OFFICER

13 December 2012

### **Important Notice to person named in this instrument**

1. Under subsection 248(3) of the AML/CTF Act, a person granted an exemption subject to one or more conditions must comply with the conditions set out in the instrument. Failure to comply with subsection 248(3) is a civil penalty provision and may result in:
  - the revocation of the exemption; and
  - the AUSTRAC CEO applying to the Federal Court of Australia for a civil penalty order requiring the person to pay a pecuniary penalty in respect of the breach.
2. It is an offence under sections 136 and 137 of the AML/CTF Act to provide false or misleading information or documents. If any of the information submitted by the applicant or its representatives is found to be false or misleading, the exemption may be revoked, and action may be initiated against the applicant.
3. The person granted the exemption may request the AUSTRAC CEO at any time to revoke or vary the exemption.
4. Any request to vary this exemption must be submitted to the AUSTRAC CEO or approved delegate no later than 14 days before the date the change is requested to commence.