



Australian Government

AUSTRAC

AUSTRAC file list

1 January to 30 June 2018

This is a list of relevant file titles created by AUSTRAC between 1 January and 30 June 2018. It is in accordance with the Senate Continuing Order concerning the production of indexed file title lists, which requires each Minister to table a list of relevant file titles created by their department and portfolio agencies. The original Senate Order was amended in 1998 to require departments and agencies to display their file lists on their websites.

File number	File name
7253861	Intelligence Branch - National Manager 2018-2019
7253864	Data Collection & Verification Team - Systems Support 2018-2019
7254696	Data Collection & Verification Team - XML Test File Queries 2018-2019
7256127	Legislative changes to the AML/CTF Act Campaign - January 2018
7257373	Sector Specific Guidance for the Digital Currency Exchange Sector
7257893	IMF - Financial Sector Assessment Program Review 2018
7257894	MENAFATF Workshops 2018-2019
7264055	SFC - Phoenix Taskforce 2018
7269495	Development of Guidance - Foreign Bribery
7269710	External Documentation Reviewed by Policy and Guidance 2018
7269899	Proceeds of Crime Act (POCA) - Planning 2018
7269906	Submissions and Briefs Prepared by Policy and Guidance 2018
7269943	AUSTRAC Compliance Guide - Revisions and Updates 2018-2019
7270032	Policy and Guidance Questions and Decisions 2018
7270037	Policy and Guidance Research 2018-2019
7270344	Policy and Guidance - Updates and Reporting 2018-2019
7270539	Internal Documentation Reviewed by Policy and Guidance 2018
7270630	Policy Meetings with ABA and AFMA 2018-19
7278569	Intelligence Capability - Review and RFI Requests 2018
7280236	FATF Plenary Meeting Paris February 2018
7280432	AUSTRAC Intelligence Bulletin (external) 2018
7280433	AUSTRAC Intelligence Bulletin (internal) 2018
7280438	Intelligence disseminated to AUSTRAC by partner and foreign agencies 2018
7282183	Business System Information Management Assessments
7300934	AUSTRAC Capability Enhancement Board 2018

File number	File name
7303005	Timor-Leste Visit Programs
7303234	International Programs Planning 2018-2019
7308028	CTF Summit Thailand 2018 - Promotional & Media
7308363	CTF Summit Thailand 2018 - Agenda Presentations & Content
7309614	2017 KL Communique Deliverables - FICG Working Group
7312880	Senate file list July to December 2017
7318349	ASEAN - Regional Financial Intelligence Analyst Course (FIAC)
7322623	Melbourne Representative Group Meetings - 2018-2019
7323936	AUSTRAC Data Governance Planning 2018-2019
7324763	Egmont IEWG Meeting Buenos Aires - March 2018
7325532	Senate Estimates - February 2018
7326052	FATF RTMG Joint Experts' Meeting and National Risk Assessment Workshop Busan - May 2018
7329273	Media and Communication Strategies 2017-18
7343130	Home Affairs Afternoon Media Updates - 2018
7356609	Operational Intelligence Analysis & Research - Multiple SMRs OIR 2018
7358039	Quarterly Country Snapshot Reports 2018
7359888	Monthly Country Macro Monitoring Reports 2018
7360961	Innovation - Development of a Secure Sharing Platform
7369090	CEO Briefings from Business Units 2018
7375208	INTL MOU - Ecuador
7375934	Question Time Briefs (QTB) 2017-18
7376862	Partner Agency Controlled Operations - 2018-2019
7377753	Australian Criminal Intelligence Commission (ACIC) Board 2018
7409870	Singapore Visit Programs
7422911	Submissions relating to Department of Home Affairs 2018
7429361	Operational Intelligence Analysis & Research - Boiler-room fraud
7440948	NPO red flag indicator project - 2018 CTF summit
7445827	Compliance Report Re-Design 2017-2018
7452636	AUSTRAC events and product launches 2018
7461204	Ministerial Briefs 2018
7462118	AUSTRAC Business Forecast 2018
7463987	Smart Contracts - Proof of Concept 2018
7464465	Minister for Justice media releases 2017
7478292	Media Weekly Meetings 2018
7478302	Regulatory capability development program - 2018-2019
7487384	Home Affairs Technology Efficiency - Tiger Team 2018
7491475	Mutual Evaluation Training Materials 2018
7502524	Operational Intelligence Analysis & Research - Offshore Digital Currency Exchanges

File number	File name
7505073	Risk Assessments Team - Non-Bank Lenders and Financiers Risk Assessment 2018
7511909	Transnational Serious Organised Crime (TSOC) Deconfliction
7533067	Entity Resolution 2017-2020 - Requirements, Consultation and Design
7542567	TargIT Outputs 2018
7544823	MENAFATF - Plenary Meeting April 2018
7548130	Asia Pacific Group Annual Meeting Nepal July 2018
7570633	2018 Review of AUSTRAC CEO Delegations per AML/CTF Act
7572668	Engineering Team - Planning and Coordination 2018 - 2020
7587009	Senate Estimates - May 2018
7605747	Automated Profile Outputs 2018
7622871	Speaking Engagements - 2018
7658912	Access and Exemptions - Queries relating to archived issues
7659277	Regulatory Strategy and Insights - Combating child exploitation fact sheet campaign - April 2018
7659294	FATF Plenary Meeting Paris June 2018
7660955	Regulatory Strategy and Insights - Industry Contribution 2018-19 Campaign - May 2018
7674091	Fintel Alliance Analyst Secondment - PPATK - Sydney - May-July 2018
7690569	CTF Summit Malaysia 2017 - Codeathon
7728221	Trilateral Analyst Exchange Program - Canberra - May 2018
7731553	Operational Intelligence Analysis & Research - Significant Investment Visa holders
7753678	Authorisation of External Auditors under the AML/CTF Act - 2018
7759237	Data Exploitation Requests and Reports 2018-2020
7780037	AML/CTF Act review implementation - Phase 1.5 reforms
7781709	FFIS Conference of Partnerships 2018
7803854	Ministerial correspondence 2018-19
7803997	AML/CTF Rules - Change to certified copy definition in Chapter 1
7804413	Ministerial correspondence 2017-18
7805512	AML/CTF Rules - Police Officers Relief from ACIP and TTR requirements
7814610	Home Affairs general requests 2018
7814719	Executive Committee Biographies 2018-2020
7882115	2017-21 Corporate Plan Reporting - Risk Assessments Team
7894236	Blockchain Initiatives - 2018 - 2019
7908298	Compliance Briefs 2018-2019
7922216	Intelligence Collection and Open Source - Search 2018-19
7923432	International Networks - ASEAN Analyst Hubbing Exercise 2018
2018-0001	Legal Matter - Draft Code of Practice relating to DPA
2018-0002	Legal Matter - Can we promote the new ABPF containing fields to allow DCE registration before 1 April?

File number	File name
2018-0004	Legal Matter - Can more than one approved registration form be accepted by AUSTRAC from applicants at the same time?
2018-0005	Legal Matter - Implication on s49 from stand up of Department of Home Affairs
2018-0006	Legal Matter - Do AML/CTF reporting obligations apply to off-market transfers of securities
2018-0007	Legal Matter - Meaning of Turf Commission Agents under the AML/CTF Rules
2018-0008	Legal Matter - TTR obligation where the transaction involves 'split' transactions
2018-0009	Legal Matter - Disclosure of personal information
2018-0010	Legal Matter - Whether item 50 includes swapping the denominations of currency
2018-0012	Legal Matter - Whether can attach AUSTRAC TES dictionary to witness statement
2018-0015	Legal Matter - Review of privacy statement on ConnX
2018-0022	Legal Matter - Advice on Asian Region Passport funds legislation
2018-0023	Legal Matter - Release of AUSTRAC information to Ombudsman
2018-0024	Legal Matter - Review Policy Principles - digital currency exchange register
2018-0026	Legal Matter - AML/CTF Rules - Applicable customer identification procedure with respect to partners
2018-0027	Legal Matter - Whether use of an ATM before customs causes CBM reporting to arise
2018-0028	Legal Matter - What is the meaning of "as soon as practicable?"
2018-0032	Legal Matter - Advice on draft email to REs re additional contribution levy
2018-0033	Legal Matter - Development of template Memoranda of Understanding for domestic and international secondments
2018-0034	Legal Matter - Advice on draft witness statement for WAPOL
2018-0035	Legal Matter - Submission of CBM reports by Home Affairs officers via AUSTRAC Online
2018-0040	Legal Matter - Prescription of Minister for Home Affairs under s60 of NSW THRO Act
2018-0041	Legal Matter - Prescription of Taskforce for information sharing by Regulation under AML/CTF Act
2018-0043	Legal Matter - DCE transition and SMR reporting timeline
2018-0044	Legal Matter - Review draft Office of National Intelligence Bill and Integrity Measures Bill
2018-0046	Legal Matter - Review draft guide for digital currency exchange providers
2018-0047	Legal Matter - Removal of entities not providing designated service from RE Roll
2018-0048	Legal Matter - Is it correct that if an enrolling entity (or its holding company) has an ABN/ACN/ARBN or a physical address with country as "Australia" then it meets the residency requirement?
2018-0050	Legal Matter - Review of Home Affairs drafted advice on CBM of physical currency
2018-0051	Legal Matter - Review Enduser Licence Agreement for Software
2018-0053	Legal Matter - Advice on Digital Currency Exchange exemption
2018-0054	Legal Matter - Enquiry regarding crystalline osmium
2018-0055	Legal Matter - Disclosure by RE to AUSTRAC of receipt of s49 notice from another agency
2018-0057	Legal Matter - Whether the AFP can release AUSTRAC information to the FBI for seizure warrants

File number	File name
2018-0058	Legal Matter - Approval of 'approved forms'
2018-0059	Legal Matter - Review disclaimer on information to be released to RES
2018-0060	Legal Matter - Are limited AFSL holders providing a ds when they provide advice wrt SMSFs?
2018-0061	Legal Matter - Creation of purchase orders for transition period between MULS and Panel Arrangement
2018-0062	Legal Matter - AUSTRAC authority to spend money on grants
2018-0063	Legal Matter - Review of AUSTRAC publications - DCE amendments
2018-0064	Legal Matter - Further questions about E&R form
2018-0065	Legal Matter - AGS advice on s 127 - general or restrictive interpretation?
2018-0066	Legal Matter - 'Split' delegation of powers in an instrument of delegation
2018-0068	Legal Matter - Legal authority to charge new designated agencies for accessing AUSTRAC information
2018-0071	Legal Matter - 15.3 and pre-commencement customers
2018-0072	Legal Matter - Legal Query regarding interpretation of s75B(1)(c) of the AML/CTF Act
2018-0073	Legal Matter - Questions on registration forms - principle place of business and permanent establishment
2018-0074	Legal Matter - What types of questions can be asked under s 47(2)
2018-0075	Legal Matter - Advice on whether a del creder agent provides a designated service?
2018-0076	Legal Matter - Advice - disclaimer on co-badged ACIC/AUSTRAC product
2018-0080	Legal Matter - Use of AUSTRAC logo on websites as an affiliation
2018-0081	Legal Matter - Advice on junket operators
2018-0084	Legal Matter - Legal advice re FAEG working paper
2018-0085	Legal Matter - Advice re Policy Issues raised by SMR campaign
2018-0086	Legal Matter - Release of IFTIs in federal court proceedings
2018-0087	Legal Matter - Legal review of amendments to NSW Terrorism (High Risk Offenders) Act 2017
2018-0089	Legal Matter - S 49 contact and Visa contact
2018-0091	Legal Matter - AML/CTF Authority for threshold question in incoming passenger card
2018-0095	Legal Matter - Comment on external conduct standards
2018-0097	Legal Matter - Compliance guide and TTRs
2018-0099	Legal Matter - Review of draft determination and explanatory statement
2018-0100	Legal Matter - DCE questions regarding SMR reporting
2018-0101	Legal Matter - Whether 'no fixed address' can be stated as residential address
2018-0102	Legal Matter - Whether entity has correctly identified their business and structure
2018-0105	Legal Matter - FAQs - intranet and internet
2018-0106	Legal Matter - Electronic Gaming Machines (EGMs) and TTRs
2018-0109	Legal Matter - Whether need to segregate RE information in Fintel Alliance
2018-0110	Legal Matter - Advice on pre-paid debit cards
2018-0113	Legal Matter - Legal Query regarding interpretation of s75B(1)(c) of the AML/CTF Act

File number	File name
2018-0114	Legal Matter - Advice re dissemination of AUSTRAC information by designated agency in a brief
2018-0116	Legal Matter - Ramifications of General Data Protection Regulations (GDPR) on AUSTRAC Data
2018-0117	Legal Matter - ML/TF risk assessment - on-course bookmakers sector
2018-0118	Legal Matter - Release of AUSTRAC information to non-designated agency
2018-0121	Legal Matter - Termination of DBG on grounds outside those provided for in Rules 2.1.2
2018-0122	Legal Matter - OCDD and pre-commencement customers
2018-0123	Legal Matter - Advice re External Auditors Policy Revocation
2018-0124	Legal Matter - Whether FTR entities required to make a declaration
2018-0128	Legal Matter - E&R Project - Leviable Entities and the enrolment form, FTR Act and the Enrolment Form
2018-0129	Legal Matter - When RE submits information to AML/CTF Act and Privacy Act
2018-0132	Legal Matter - Previous Junket Advices
2018-0133	Legal Matter - Who controls a company as a beneficial owner
2018-0134	Legal Matter - Comment on OC Paper re junket operators
2018-0135	Legal Matter - Advice on customer owned banks
2018-0136	Legal Matter - whether the trading of digital currencies against wholesale Trading Counterparties is an item 50A designated service
2018-0137	Legal Matter - MOU Template - Australian Government Law Enforcement Indigenous Secondment Pilot
2018-0138	Legal Matter - Whether a casino providing Keno has obligations under the FTR Act
2018-0139	Legal Matter - Review external API form
2018-0140	Legal Matter - Do DCEs provide a remittance service
2018-0142	Legal Matter - Use of online AUSTRAC Business Profile Form
2018-0144	Legal Matter - Advice re s184 delegations
2018-0146	Legal Matter - Legal advice as to reporting of SMRs by agent
2018-0148	Legal Matter - Consequences of not having a direction in place for considering DCE registration applications
2018-0149	Legal Matter - comments on LGNSW Review of Regulations