



# AUSTRAC file list

1 July to 31 December 2015

This is a list of relevant file titles created by AUSTRAC between 1 July and 31 December 2015. It is in accordance with the Senate Continuing Order concerning the production of indexed file title lists, which requires each Minister to table a list of relevant file titles created by their department and portfolio agencies. The original Senate Order was amended in 1998 to require departments and agencies to display their file lists on their websites.

File Number	File Name
3987569	Media Monitoring Clippings 2015-16
4015945	2014/604 - DQS Reports - Compliance Remittance Sector 2014-15
4102258	DHS Data Matching Requirements and High Level IT Design Documents 2015-17
4103147	HOCOLEA 61 reports and papers
4103670	Serious Financial Crimes - Revenue and Regulatory Agencies
4108588	Gatekeepers - Collection plan and Information support 2015-2016
4109069	INTL MOU - Nepal
4109246	Questions on Notice - The Senate and House of Representatives 2015-16
4109658	Data Exploitation team planning
4110355	APG - Asia-Pacific Group Workshops - Invitations and participation 2015-18
4110585	Intelligence Branch - Standard Operating Procedures
4113851	Sustainable management of QA and testing data 2015
4114631	Innovation Initiatives 2015-2017
4135940	AML/CTF Rules - Chapter 42 - grain derivatives
4136491	MOU - Serious Financial Crime
4137115	International Travel and Stakeholder relations 2015-16
4137935	AML/CTF Rules - Chapter 38 - review of threshold and conditions
4138257	Internal Legislative Updates - Rules team to Executive
4145328	Operations Committee - 2015
4154903	MENAFATF - Application for Observer Status
4156500	FATF Plenary Meeting Paris October 2015
4157584	Tactical Intelligence Disseminations 2015-16
4157784	Request to become a designated agency - Department of Agriculture

<b>File Number</b>	<b>File Name</b>
4158178	FATF Workshops - Invitations and Participation 2015-18
4159041	Partner Agency Feedback Forms 2015-16
4159951	Typologies Products Analysis 2015-16
4161063	Operational Intelligence Final Products 2015-16
4161140	Operational Intelligence disseminations 2015-16
4161746	Operational Products and Typologies feedback 2015-16
4172720	CEO meetings with AUSTRAC Minister 2015-16
4173526	Question Time Briefs (QTB) 2015-16
4173555	Counter-Terrorism Financing (CTF) Summit 2015 - Content
4173558	Operational Intelligence analysis & research - Chinese real estate investment
4173922	Counter-Terrorism Financing (CTF) Summit 2015 - Speaker Liaison
4173931	Counter-Terrorism Financing (CTF) Summit - Venue and Restaurant Arrangements
4174319	Government Relations advice provided within AUSTRAC 2015-16
4174334	Counter Terrorism Financing Summit 2015 - Promotional/Media
4174370	2015-2016 Risk Assessments Team - Briefings
4174939	Ministerial Correspondence 2015-16
4175051	Operational Intelligence analysis & research - China funds flow
4175052	Operational Intelligence analysis & research - Greece funds flow
4175213	General Ministerial Briefs 2015-16
4175224	Counter-Terrorism Financing (CTF) Summit 2015 - Ministerial Correspondence
4175243	Risk Assessments Team - Risk assessments 2015-2016
4175427	Attorney-General submissions 2015-16
4175442	Counter-Terrorism Financing (CTF) Summit 2015 - Security and Risk
4175817	Ministerial Submissions 2015-16
4175820	Counter-Terrorism Financing (CTF) Summit 2015 - Delegate Registration and Liaison
4175882	2015-2017 Presentations - Risk Assessments team
4175885	2015-2016 Risk Assessments Team - Research
4175889	Consideration of AUSTRAC supervision of Australia's sanctions regime
4175932	Operational intelligence analysis & research - ATM deposits
4176016	Minister for Justice Office - correspondence 2015-16
4176416	Attorney-General's Department Correspondence 2015-16
4177473	Data Architecture
4177696	Governance, Production, FIA Training - media monitoring 2015-17
4179655	Financial Intelligence Assessment Training 2015-16

<b>File Number</b>	<b>File Name</b>
4180171	AUSTRAC Intelligence Bulletin (internal) 2015-17
4187785	Program Management Office Reporting 2015-2016
4189193	Australian Remittance and Currency Providers Association (ARCPA) Meetings 2015-16
4189200	Knowing Your Industry Initiative 2015-2016
4189203	Business Capability Governance Committee 2015-2016
4190507	Senate Estimates 2015 - October
4191510	AML/CTF Rules - Chapter 4 - Privacy Impact Assessment
4194099	RSR Registration - Request for Removals 2015-16
4194530	Innovation Hub 2015-17 - Rapid Lab
4194596	General Enquiries and Requests - Registration Team 2015-16
4194597	Enterprise Architecture 2015-20
4194598	Internal Correspondence - Registration Team 2015-16
4194903	RSR Registration - Application Vetting Documents 2015-16
4194928	Joint Agency Meetings 2015-2016
4195144	Witness Statements - Registration Team 2015-16
4195280	RSR Registration - S75N notices and responses 2015-16
4195282	External Correspondence - Registration Team 2015-16
4195535	RSR Registration - Reporting Entity Correspondence 2015-16
4195933	Business Change Management 2015-20
4196896	Templates and Guidance Documents - Registration Team 2015-16
4197032	Innovation Hub 2015-17 - Engagement
4197187	RSR Registration - Request for Material Changes 2015-16
4197822	Innovation Hub 2015-17 - Design Facilitation
4198104	Joint Analyst Groups 2015
4198758	Corporate Plan 2016-20
4199237	Corporate Plan 2015-19
4199943	Outcomes Statement 2015-16
4200063	Annual Performance Statement 2015-16
4200548	Regulator Performance Framework 2015-16
4209895	Compliance Reporting 2015 - Communication and Planning
4210414	Threat Detection & Leads Generation 2015-2016
4210493	Parliamentary Secretary submissions 2015-16
4212365	FINTRAC CEO monthly teleconference meetings 2015-2016
4212905	Filespecs Correspondence 2015-16

<b>File Number</b>	<b>File Name</b>
4214920	Tactical Intelligence Reporting 2015-16
4228006	Operational Intelligence Presentations 2015-2017
4240038	Strategic Intelligence Team Responses to External Requests 2015-16
4242275	Innovation Hub 2015-17 - Ideas Register
4242991	Tactical Intelligence Planning 2015-16
4243118	Tactical Intelligence Presentations
4243311	Innovation Hub 2015-17 - Business Design
4243343	AUSTRAC Intelligence Bulletin (external) 2015-17
4259200	Development of Guidance - Superannuation Sector
4259479	Development of Guidance - Indigenous Identification and Verification
4260688	Quality Assurance Policy 2015
4260845	Strategic Intelligence Team Responses to Internal Requests 2015-16
4261495	CIO Forum Nov 2015
4261890	Strategic Intelligence Reports 2015-16
4262380	Strategic Intelligence Team Briefings 2015-16
4262555	Operating Model and Capability Review 2015-16
4262984	Governance, Production, FIA Training - Governance Documents 2015-17
4265005	MOU - The National Disruption Group
4265184	Compliance Practice Management 2015-16
4265193	Risk Assessments Team - Superannuation Sector Risk Assessment 2015
4266449	ATM/IDM Campaign FY 2015-16
4271654	Data Integrity Team Emails 2015/16
4297215	Methylamphetamine (Ice) Research and Documentation
4303128	PoDRS Finalisation Campaign 2015-16
4304634	AML/CTF Rules - Chapter 11 Amendments - Compliance Report 2015
4308848	Intelligence Branch Strategic Planning 2015-16
4313650	Serious & Organised Crime Team Updates 2015-16
4315038	Data Exploitation - Reporting 2015-2016
4323971	Operational Intelligence Analysis & Research - Methamphetamine
4325734	Operational Intelligence Minister and Media Correspondence 2015-16
4325857	Digital Strategy - 2015 - 2017
4327487	Serious Financial Crime 2015-16
4327616	16/080 - MOU - New South Wales Independent Liquor and Gaming Authority
4327900	Strategic Intelligence - Operational Workflows

<b>File Number</b>	<b>File Name</b>
4328004	Intelligence Referrals to Compliance 2015-16
4328037	Risk Assessments Team - Bullion Sector Risk Assessment 2015
4329995	Intelligence - Planning 2015 - 2016
4330568	Operational Intelligence Analysis & Research - Tobacco Smuggling
4331862	MOU - Department of Immigration and Border Patrol
4333328	Operational Intelligence Engagement 2015-16
4336911	Client Services SmartForm Approval Campaign - Dec 2015
4337819	Re-authorisation of External Auditors under the AML/CTF Act - November 2015
4337875	Client Services 2014-15 Annual Report Communication Campaign - Nov 2015
4338007	NAA - Digital Continuity 2020 Policy - Implementation Planning
4340291	Innovation and Design - Enterprise Architect drafting 2015
4352107	INTL MOU - Monaco
4353860	Risk Assessments Team - Risk Assessments Development 2015-2016
4354260	Turkey - International Enquiries
4355264	INTL MOU - Montenegro
4356634	Five Eyes CEO Meetings 2015 - 2017
4363478	AML/CTF Act S49 requests and responses 2015-16
4366869	Legal Risk and Governance Business Capability Plan and Workflow
4367512	IndoARCC Program - February 2016 - Planning and Content
4367997	Networking the Networks Delhi 2-4 Nov 2015
4368426	Legal Governance and Risk - purchase of online research tool 2015 - 16
4370589	IndoARCC Program - May 2016 - Logistics
4370652	IndoARCC Program - May 2016 - Planning and Content
4371030	IndoARCC Program - February 2016 - Logistics
4376514	Campaign Planning 2015-16
4378372	Operational Intelligence - Money Laundering Alerts 2015-16
4380635	Operational Intelligence and Research - Cross Border Movements 2011-15
4381835	Eligo 2 Taskforce 2015
4384097	Tactical Intelligence Standard Operating Procedures 2015-16
4385379	Intelligence disseminated by AUSTRAC to partner & foreign agencies 2015-16 (Bulletin)
4385586	Tactical Analysis - Reporting 2015 - 16
4385616	Commonwealth agency investigations workshops
4386798	DoF - Whole of Government EDRMS Feasibility Study - 2015
4410142	Executive Office Information Resources

<b>File Number</b>	<b>File Name</b>
4417789	Brief of Evidence - AFP Templates
4419380	Legal Investigations Procedures
4422596	Tabcorp Tipping Off Exemption
4424044	Australian Cyber Security Research Institute (ACSRI)
4432136	ASEAN Briefs
4433063	**** - General Briefs from Executive Office
4444462	IndoARCC - Indonesian Alternation Remittance and Cash Courier Program
4444866	Senate Estimates 2016 - February
4446268	Client Services Witness Statement Activity - 2015-16
4447041	SEARCCT TFI Workshop 7-9 December 2015
4450685	Counter-Terrorism Financing (CTF) Summit 2015 - Presentations
4450907	Review of the AML/CTF Act - Preliminary RIS
4451500	Joint Agency Agreement - Phoenix Taskforce
4456415	Situational Crime Prevention Theory 2015-2016
4456545	Review of the Act - Risk Assessment - Legal Practitioners
4456790	SEARCCT TFI Workshop 7-9 December 2015
4458020	FATF Special Plenary Meeting Paris December 2015
4461377	16/055 - Client Services Enrolment Correspondence Jul - Sep 2016
4461697	16/052 - Reporting Entity RE Roll Removal Requests 2016
4461897	Daily CR15 lodgements and AO Enrolments 2016
4462379	16/054 - Client Services Enrolment Correspondence Oct - Dec 2016
4462700	16/057 - Client Services Enrolment Correspondence Apr - Jun 2016
4462703	16/051 - Outbound / Inbound Searchlight letters 2016
4463281	Witness statements prepared by Compliance - Remittance Sector team 2016
4463480	16/056 - Client Services Enrolment Correspondence Jan - Mar 2016
4467838	15/748 - MOU - Office of Liquor and Gaming Regulation QLD
15/649	2015/471 - MOU with UAE
15/678	Application for Exemption - HSBC
15/748	MOU - Office of Liquor and Gaming Regulation QLD
15/749	APG - Coordination of Australian responses to papers/issues - 2015
2015-0046	Legal Matter - Advice on inter-agency transfer
2015-0065	Legal Matter - Report and Recommendations 2015 - Review of the AML/CTF Act, Regulations and AML/CTF Rules
2015-0067	Legal Matter - Streamlining the issuing of an infringement notice for a contravention of s74

<b>File Number</b>	<b>File Name</b>
2015-0068	Legal Matter - Feedback on the s74 offence and its provisions
2015-0069	Legal Matter - Assisting law enforcement partners with criminal code offences or proceeds of crime proceedings
2015-0070	Legal Matter - When does partner agency information become AUSTRAC eligible collected information
2015-0071	Legal Matter - Authority to prosecute and reversing the onus of proof
2015-0074	Legal Matter - **** - suitability inquiries
2015-0075	Legal Matter - Crimes Legislation Bill 2015 - Consequential amendments to Counter-Terrorism (Foreign Fighters) Act 2014
2015-0076	Legal Matter - OPC - initial draft of AML/CTF Act amendments
2015-0079	Legal Matter - Re-formatted - Review of Decisions - 233D Form
2015-0080	Legal Matter - Tipping off offence s123 AML/CTF Act and Suspicious Matter Reports
2015-0083	Legal Matter - AUSTRAC Intelligence (AI) - does partner input information become AUSTRAC information
2015-0084	Legal Matter - **** companies
2015-0086	Legal Matter - Conflict between State Gaming Law and AML/CTF Act
2015-0087	Legal Matter - Disclosure - non-designated agencies & foreign countries - ss 121 &129 AML/CTF Act
2015-0088	Legal Matter - Reviewable decisions under part 6 of the AML/CTF
2015-0089	Legal Matter - NZ Customs MOU with ACC
2015-0090	Legal Matter - DHS dissemination of AUSTRAC information to AFP
2015-0091	Legal Matter - AUSTRAC Intelligence Bulletin
2015-0092	Legal Matter - No CBM completed when undeclared cash found
2015-0093	Legal Matter - Use of SMR reports in s 21A Notice Applications
2015-0094	Legal Matter - Does**** provide item 46 custodial service
2015-0096	Legal Matter - **** - Designated Services
2015-0097	Legal Matter - Review of guidance for remittance network providers
2015-0098	Legal Matter - Summons for **** to give evidence at a committal - Nov 2015
2015-0099	Legal Matter - Review of Draft Privacy Impact Assessment - amendments Chapter 4
2015-0101	Legal Matter - **** - IFTI Reporting
2015-0102	Legal Matter - **** and section 29 PGPA Rule
2015-0103	Legal Matter - **** exemption instrument
2015-0104	Legal Matter - Advice on accountable authority delegations under the PGPA Act
2015-0108	Legal Matter - Exemption Instrument for **** – 13 of 2015
2015-0109	Legal Matter - Update IFTI-E SWIFT messages
2015-0110	Legal Matter - Use of ridesharing services by AUSTRAC staff

<b>File Number</b>	<b>File Name</b>
2015-0112	Legal Matter - Disclosure in Prosecutions
2015-0114	Legal Matter - Advice in relation to instrument exempting **** from Section 123 AML/CTF ACT
2015-0115	Legal Matter - **** – Provision of Designated services – Item 54 AML/CTF Act & Chapter 36 of AML/CTF Rules
2015-0116	Legal Matter - AUSTRAC_n551668_Witness_Statement_Request_Form
2015-0117	Legal Matter - Subpoena information - ****
2015-0118	Legal Matter - Review of the Information & Technology Branch and its Contracting Strategies
2015-0119	Legal Matter - **** Exemption under section 248 - consolidated exemptions 2015
2015-0120	Legal Matter - MOU – Staff Secondment between AUSTRAC and the Australian Prudential Regulation Authority
2015-0121	Legal Matter - Review_ draft MOU with Jordanian FIU
2015-0122	Legal Matter - Corporations Amendment (Crowd-sourced Funding) Bill 2015
2015-0123	Legal Matter - Cashless gambling services within a casino
2015-0124	Legal Matter - Witness statement in the matter of ****
2015-0125	Legal Matter - ****
2015-0126	Legal Matter - Statement on Disclosure Obligations and Guidelines on Disclosure
2015-0127	Legal Matter - Cancellation or suspension of registration of ****
2015-0128	Legal Matter - Review of s49 SOP
2015-0130	Legal Matter - AGD Secretary's Review of Commonwealth Legal Services - Release of Issues Papers
2015-0131	Legal Matter - Exemption Instrument 14 of 2015 – Department of Social Services and ****
2015-0132	Legal Matter - Use of bank accounts not linked to registered remitters
2015-0133	Legal Matter - ****
2015-0134	Legal Matter - Commercial Property Lease – Melbourne Office - 2016
2015-0136	Legal Matter - Retaining records of voided cross border movement infringement notices
2015-0139	Legal Matter - Expedited Applications
2015-0140	Legal Matter - Review of witness statement in the matter of ****
2015-0141	Legal Matter - Destruction of AUSTRAC aggregate data
2015-0142	Legal Matter - **** Compliance
2015-0144	Legal Matter - Advice regarding whether it is an offence to remit money to a Government 'declared area'
2015-0145	Legal Matter - Obtaining ATO information
2015-0147	Legal Matter - Advice regarding Electronic-based safe harbour procedure