



Australian Government

AUSTRAC

AUSTRAC file list

1 July to 31 December 2017

This is a list of relevant file titles created by AUSTRAC between 1 July and 31 December 2017. It is in accordance with the Senate Continuing Order concerning the production of indexed file title lists, which requires each Minister to table a list of relevant file titles created by their department and portfolio agencies. The original Senate Order was amended in 1998 to require departments and agencies to display their file lists on their websites.

File number	File name
6420695	AUSTRAC Website Blog 2017-2020
6420712	TA&T Reporting to Commonwealth Agencies 2017-18
6420761	AUSTRAC / AGD - SPEAR Funding Proposal 2017-21
6420797	International Exchange Non Dissemination Matters 2017-18
6421591	Legal Service Expenditure 2017-18
6421705	SPEAR - Papua New Guinea 2017-21
6424193	International Exchange Disseminations 2017-18
6435215	Reporting entity segmentation 2017-18
6435525	AUSTRAC events and product launches 2017
6435547	Indonesia PAPP 2017-18 - Regional engagement and capability development
6435802	Indonesia PAPP 2017-18 - Analyst Secondment
6436011	Indonesia AIAEP 2017 - Australia Indonesia Analyst Exchange Program
6436456	Indonesia PAPP Program 2017-18
6436559	Indonesia PAPP 2017-18 - Indonesian Institute for Financial Intelligence
6437681	FOI Request for Consultation 2017-18
6437775	Smarter Regulation 2017-18
6437941	Ministerial Submissions 2017-18
6437979	Indonesia PAPP 2017-18 - FATF Mutual Evaluation and Membership
6438557	Indonesia PAPP 2017-18 - Reporting and Analysis Systems Development
6441709	Risk Assessments - New Payments Platform 2017
6457855	Joint Agency Meetings 2017-2018
6462347	Rules six monthly regulatory reporting savings and costs - 2017-2018
6463449	FOI General Enquiries - 2017-18
6463452	Privacy and Freedom of Information Statistics 2017-18
6464258	Operational Intelligence Case Study Development Liaison 2017-2019
6466971	Operational Intelligence Analysis & Research - Repatriation of AUD
6468111	Ghana
6468827	Media Enquiry Responses 2017-18

File number	File name
6469273	International Information Requests - 2017-18
6469502	Media Talking Points 2017-18
6469503	Media monitoring clippings 2017-18
6469658	Operational Intelligence Analysis & Research - Proposed Products 2017-2018
6473145	Media Releases 2017-18
6483291	Australia's second cycle review - United Nations Convention Against Corruption
6483589	Regulatory Intelligence Reporting 2017-18
6495894	AML/CTF Rules - Replacement Explanatory Statement
6496232	Annual Performance Statement 2017-18
6497227	Performance and Governance Reporting 2017-18
6497426	Agency Planning and Performance Reporting 2017-18
6504994	FATF Plenary Meeting Buenos Aires November 2017
6505159	Egmont Meeting 2017 10 Buenos Aires - IEWG Intersessional
6508285	16/080 - MOU - New South Wales Department of Industry
6508673	2007/915 - MOU - NSW Law Enforcement Conduct Commission (LECC)
6510954	Education & Awareness - Compliance Regulatory Investigations 2017-18
6510956	General Enquiries and Requests - Compliance Regulatory Investigations 2017-18
6511778	Compliance Branch - Reporting Team Planning 2017-18
6511982	Referrals - Compliance Regulatory Investigations 2017-18
6513568	Australian Remittance and Currency Providers Association (ARCPA) Liaison 2017-18
6513854	Compliance Remittance Sector - Planning and Management 2017-18
6515474	Advice and Research - Compliance Regulatory Investigations 2017-2018
6516096	Assessments - Compliance Regulatory Investigations 2017-18
6519644	Monthly Electronic Reporting Book 2017-18
6531127	Intelligence Liaison - Compliance Regulatory Investigations 2017-18
6534836	Submissions relating to Department of Home Affairs 2017
6536349	APG Steering Group Documentation 2017-2020
6539540	OC and OHC Reporting 2017-18
6545914	Notifiable Data Breach (NDB) scheme planning
6559184	Senate file list January to June 2017
6560723	Operational Intelligence Analysis & Research - ATM Deposits 2017
6593815	AML/CTF Act Reform - Secrecy and Access - 2017
6604998	Operational Intelligence Analysis & Research - Parcel Post Seizures
6612943	Cyber Operations - Ideas and Research 2017-18
6613340	Cyber Operations - Collection 2017-18
6613582	Cyber Operations - Consultation 2017-18
6614552	Cyber Operations - Administration 2017-18
6615764	Cyber Operations - Partners 2017-18
6619044	AML/CTF Act review implementation - Phase 2 Planning
6621200	Domestic and Family Violence Policy
6621578	AUSTRAC Guidance Online Wagering - National Consumer protection framework
6625869	International Matrix Planning 2017-2018
6633002	Implementation of Phase 1 Legislative Reforms AML/CTF Rules Amendments 2017-18

File number	File name
6633099	Regtech and AML Service Providers Presentations and Products 2017-18
6633489	Regtech and AML Service Providers 2017-18
6634095	Operational Intelligence Analysis & Research - Remitter Debanking Displacement
6634475	Regtech and AML Service Providers Engagement Strategy 2017-18
6635773	FATF Regtech Principles 2017-18
6636874	Compliance Learning and Development Strategy 2017-18
6637004	Modernising Business Registers - Consultation 2017
6638270	Client Services Planning 2017-2019
6640487	Operational Intelligence Analysis & Research - ASIC newly registered companies data 2017-18
6642061	Legal Strategy and Solutions - OC and OHC Preparation 2017-2018
6659423	Intelligence Capability - Training and Development 2017-2018
6659429	Intelligence Training Courses - Financial Intelligence Analyst Course (FIAC)
6666123	Statute Update (Spring 2017) Bill 2017 - Amendments of AML/CTF Act
6666185	Compliance OC and OHC Reporting 2017-18
6666503	SEA CTF Working Group (SEA CTFWG)
6666812	Operational Intelligence Disseminations 2017-18
6670053	Serious Financial Crime - Agency Liaison 2017-18
6677865	Questions on Notice - The Senate and House of Representatives 2017-18
6678852	Compliance Industry Engagement and Capability Development (IECD) - Education & Awareness 2017-18
6680433	Compliance Industry Engagement and Capability Development (IECD) - Planning 2017-18
6682955	Client Services business unit documents 2017-2019
6684374	Planning and Management - Compliance Regulatory Investigations 2017-18
6688465	Intelligence Capability - Web & Social Media Training 2017-2018
6690649	AML/CTF Rules - Amendments to Chapter 10 Online Gambling ACIP Period
6699736	Intelligence Capability - Intelligence Products QA feedback 2017-18
6702524	Egmont Biennial Census 2017
6708584	Self-Disclosed Breaches of AML/CTF Act and Rules 2017
6732224	Pacific Region Subscription to Thomson Reuters World Check 2017-18
6744630	Compliance Industry Engagement and Capability Development (IECD) - Enquiries 2017-18
6744685	Senate Estimates - October 2017
6745235	Deakin University Counter Terrorism Study Visit - 28 September 2017
6752117	Regulator Performance Framework 2017-18
6769035	TES Reason for Access Data 2017-18
6772664	Development of Policy - Gaming Vouchers
6772953	Website Content Review Group 2017-18
6776633	Data Quality 2017
6780037	Operational Intelligence Analysis & Research - CBM and CMIR Comparison
6782599	Legal Informal Advice 2017-2018
6788683	CAMLMAC Visit - November 2017
6788932	AUSTRAC AMLC Partnership Program (AAPP) 2017-19

File number	File name
6789040	2017-19 Philippines - AUSTRAC-AMLC Partnership Programme
6792221	AUSTRAC Intelligence Products and Disseminations 2015-2016
6792568	Kazakhstan - International Enquiries
6800431	AUSTRAC Website - Website Snapshots - 2017-2019
6804247	AFP notification of disclosure of AUSTRAC information 2017-18
6805324	Request for Information to Partner Agencies 2017-18
6805335	Operational Intelligence Analysis & Research - Cybercrime malware
6806729	Security Classifications - Review of the Protective Security Policy Framework 2016-2018
6818212	Indonesia AIAEP 2018 - Australia Indonesia Analyst Exchange Program
7016382	17/077 - MOU - Department of Home Affairs
7018601	17/076 - MOU - ACT Gambling and Racing Commission (ACT GRC)
7020672	Proliferation financing policy development 2017-2018
7032121	Legal Strategy & Solutions Training Material
7035624	Five Eyes Liaison 2017-2020
7036170	Risk Assessments Team - Customer due diligence (CDD) thresholds for gambling service providers 2017
7047572	MENAFATF - Plenary Meeting December 2017
7056479	Operational Intelligence Analysis & Research - Casino MB 2017
7076784	INTL Regulatory MOU - UK
7077040	Corporate Plan 2018-22
7077054	Customer Success Planning 2017-2018
7093900	ASEAN - Australia Multilateral Analyst exchange Program (MAEP) 2017-18
7094143	Money Laundering and Terrorism Financing Harm Index
7094496	ASEAN - Australia Code-a-thon 2017-18
7094796	ASEAN - Australia Regional Financial Intelligence Analyst Course (FIAC) 2017-18
7098574	Risk Assessments Team - Foreign Predicate Offences Risk Insights
7154087	Australia Indonesia Analyst Exchange Program AIAEP - November 2017
7188932	Corporations Amendment (Asia Region Funds Passport) Bill 2017
7190497	Major Reporters 2017-18 - Referrals
7191165	Major Reporters 2017-18 - International Liaison
7196648	2007/923 - MOU - Revenue NSW
7198284	Work Health and Safety Framework 2018-2020
7202416	Monitoring Research 2018-2019
7202673	Monitoring Flags 2018
7203141	Retention Notice - RC into Misconduct in the Banking Superannuation & Financial Services Industry 2017
7203294	Monitoring Automated Output 2018
7209463	Monitoring and Discovery requests for data 2018-2019
7213248	Deferred Prosecution Agreement Scheme - AML/CTF Act references
7229679	Egmont Meetings 2018 03 Buenos Aires Argentina - EC and working groups
7245089	Fintel Alliance - Business Model 2017 - 2018
7253861	Intelligence Branch - National Manager 2018-2019
7253864	Data Collection & Verification Team - Systems Support 2018-2019

File number	File name
7254695	Data Collection & Verification Team - Standard Operating Procedures (SOP) - 2018-2019
7254696	Data Collection & Verification Team - XML Test File Queries 2018-2019
7256127	Legislative changes to the AML/CTF Act Campaign - January 2018
7257046	AUSTRAC weekly report for Minister 2018
7257373	Sector Specific Guidance for the Digital Currency Exchange Sector
7257893	IMF - Financial Sector Assessment Program Review 2018
7257894	MENAFATF Workshops 2018-2019
7269495	Development of Guidance - Foreign Bribery
7269710	External Documentation Reviewed by Policy and Guidance 2018
7269906	Submissions and Briefs Prepared by Policy and Guidance 2018
7269943	AUSTRAC Compliance Guide - Revisions and Updates 2018-2019
7270032	Policy and Guidance Questions and Decisions 2018
7270037	Policy and Guidance Research 2018-2019
7270630	Policy Meetings with ABA and AFMA 2018-19
7278569	Intelligence Capability - Review and RFI Requests 2018
7280236	FATF Plenary Meeting Paris February 2018
7280432	AUSTRAC Intelligence Bulletin (external) 2018
7280433	AUSTRAC Intelligence Bulletin (internal) 2018
7280438	Intelligence disseminated to AUSTRAC by partner and foreign agencies 2018
7282183	Business System Information Management Assessments
7300934	AUSTRAC Capability Enhancement Board 2018
7302739	International Meetings 2017-18
7303005	Timor-Leste Visit Programs
7303234	International Programs Planning 2018-2019
7309614	2017 KL Communique Deliverables – FICG Working Group
7338409	Fintel Alliance working Group meetings 2018
7343466	Fintel Alliance Experts Group meetings 2018
7454879	Fintel Alliance Operations Coordination Group 2018 - membership requests
	2017-0134 Legal Matter - Customer Due Diligence Factsheet - review
	2017-0135 Legal Matter - Review AUSTRAC's response to AML Accelerate
	2017-0136 Legal Matter - Disclosure of AUSTRAC information to AFP
	2017-0138 Legal Matter - Whether Criminal proceedings can be initiated when no penalty notice issued s53
	2017-0139 Legal Matter - Review AUSTRAC/ FinCEN MOU
	2017-0140 Legal Matter - How Travelex should report CBM mailing
	2017-0141 Legal Matter - Legal Advice re designated agencies using AUSTRAC information for employee vetting purposes
	2017-0142 Legal Matter - Review proposed s248 exemption - CoINVEST
	2017-0143 Legal Matter - Electronic Based Safe Harbour Query
	2017-0145 Legal Matter - Disclosure of information to AUSTRAC by Liberty Asia
	2017-0146 Legal Matter - Modification Declaration - Bennelong Funds Management
	2017-0147 Legal Matter - Memorandum of Understanding Between AUSTRAC and the AGS - Perth office

File number	File name
	2017-0148 Legal Matter - Drafting a public Enforcement Policy
	2017-0149 Legal Matter - AUSTRAC Community Reporting Platform
	2017-0150 Legal Matter - Legal disclaimer when disclosing information to Westpac
	2017-0151 Legal Matter - Whether can issue section 167 notices for SVCs < \$1,000
	2017-0152 Legal Matter - Legal Advice regarding regulatory Memorandum of Understanding between AUSTRAC and China
	2017-0153 Legal Matter - Advice on sharing of information obtained under s 167
	2017-0154 Legal Matter - Advice on Process Overview re Partner Agency witness statement/affidavit request
	2017-0155 Legal Matter - Possible legislative amendments when become Home Affairs agency
	2017-0156 Legal Matter - Draft secondment agreement for ASD staff to AUSTRAC□
	2017-0157 Legal Matter - Implication of cessation of ATO MUL DoSO on Palantir contract
	2017-0158 Legal Matter - Advice re confidential undertaking to APRA
	2017-0159 Legal Matter - Advice in relation to Citibank's reporting of SMRs lodged with its agent, NAB
	2017-0160 Legal Matter - Review correspondent banking papers
	2017-0161 Legal Matter - AUSTRAC joining Home Affairs portfolio
	2017-0162 Legal Matter - PIA for digital enrolment and registration service
	2017-0163 Legal Matter - Developing a public Enforcement Policy
	2017-0164 Legal Matter - Advice on contacting customers
	2017-0165 Legal Matter - Advice to International networks re advice with Thompson Reuters
	2017-0166 Legal Matter - Use of AUSTRAC information in vetting decisions
	2017-0167 Legal Matter - Whether Tabcorp is providing a designated service when accepting bets of "heads" or "tails" on Keno draws
	2017-0168 Legal Matter - Verification of pre-commencement customers Part 6.3.2
	2017-0169 Legal Matter - Contacting IFTI ordering customers to confirm a transaction
	2017-0170 Legal Matter - Matchmaker loan services
	2017-0171 Legal Matter - Privacy and FOI implications on P&I register
	2017-0172 Legal Matter - Advise re letter of agreement from Cardno re a program between AUSTRAC and PPATK
	2017-0173 Legal Matter - Request for legal advice as to whether Mutual management Services is a reporting entity
	2017-0174 Legal Matter - Bennelong DBG status
	2017-0176 Legal Matter - Section 248 exemption for ASIC market participants
	2017-0177 Legal Matter - CoINVEST Exemption - Request for Advice on Item 35
	2017-0178 Legal Matter - Legal advice on whether MMS is a reporting entity that provides items 35 or 54 designated services
	2017-0180 Legal Matter - Legal advice re draft Brisbane office MOU
	2017-0181 Legal Matter - Advice re draft MOU re Brisbane office lease
	2017-0182 Legal Matter - Authorised persons query from AFP□
	2017-0183 Legal Matter - Northern Australia Infrastructure Facility providing loans
	2017-0184 Legal Matter - New SMR report file format specification
	2017-0185 Legal Matter - Fintel Alliance New Member issues

File number	File name
	2017-0186 Legal Matter - Data61 confidential computing agreement and legal issues
	2017-0187 Legal Matter - LSS Senate File List - January to June 2017□
	2017-0188 Legal Matter - ASIC report - Assessment of National Stock Exchange of Australia Limited's listing standards
	2017-0189 Legal Matter - Request for information under s129(1) from The Commonwealth Department of Employment (CDOE)
	2017-0190 Legal Matter - Interaction between PLI 3 and PLI 10
	2017-0191 Legal Matter - Use of s49 notices under the AML/CTF Act
	2017-0192 Legal Matter - Advice on privacy notice and consent form
	2017-0193 Legal Matter - Rule 4.4.8 - whether a government superannuation fund established by legislation applies to a foreign government super fund
	2017-0194 Legal Matter - Clarification and confirmation of advice in SIRL-649□
	2017-0195 Legal Matter - Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) Rules Instrument 2007 (No.1) Chapter 45 Query
	2017-0196 Legal Matter - Review of Alliance SI contract for wireless cable rollout
	2017-0197 Legal Matter - Whether Access Canberra should be a designated agency
	2017-0198 Legal Matter - Principles based rules advice
	2017-0199 Legal Matter - Release of AUSTRAC information to HSBC Hong Kong
	2017-0200 Legal Matter - Use of AUSTRAC documents (SMR) in Criminal Intelligence Submission
	2017-0201 Legal Matter - Legal Review of Secondment Agreement between AUSTRAC and Financial Conduct Authority United Kingdom
	2017-0202 Legal Matter - Legal clearance of bulk section 49 requests
	2017-0203 Legal Matter - QCS - Prisoner Trust Accounting System
	2017-0204 Legal Matter - Interpretation of rule 19.3(4) of AML/CTF Rules
	2017-0205 Legal Matter - AUSTRAC/ Latvian FIU MOU
	2017-0206 Legal Matter - Advice re letter to MoneyGram to obtain a foreign National Police certificate
	2017-0207 Legal Matter - Whether there was a conflict between Newshelf 1315 Pty Ltd legal advice and the E&R Form legal advice?
	2017-0209 Legal Matter - Identification requirements of paragraph 17(2)1 of the AML/CTF Rules
	2017-0210 Legal Matter - Legal advice re negotiations between AUSTRAC and Attorney-General's Department regarding Memorandum of Understanding
	2017-0211 Legal Matter - Operation of items 37-39 in table 6 of AML/CTF Act
	2017-0212 Legal Matter - E&R Project - Leviable Entities and the enrolment form, FTR Act and the Enrolment Form
	2017-0213 Legal Matter - Is Legal Advice dated 6 August 2015 the current AUSTRAC position on unsolicited information?
	2017-0214 Legal Matter - Legal Advice re Tabcorp betting vouchers - gaming token v stored value card
	2017-0215 Legal Matter - Legal Support for the AUSTRAC Deployee Support Working Group
	2017-0216 Legal Matter - Advice re draft disclaimer for dob in platform
	2017-0217 Legal Matter - Northern Australia Infrastructure Facility Draft Modification
	2017-0218 Legal Matter - The meaning of "Full Name" within identification and verification requirements□

File number	File name
	2017-0219 Legal Matter - Review of agreement with Amazon Web Services
	2017-0220 Legal Matter - ME Bank Threshold Transaction Reporting Obligation
	2017-0221 Legal Matter - Tipping off exemption re APRA Inquiry
	2017-0222 Legal Matter - Input into CTFWG report on additional entry power
	2017-0223 Legal Matter - AML/CTF Act secrecy and access issues
	2017-0224 Legal Matter - What partner agencies can issue section 49 notices
	2017-0225 Legal Matter - Doltone House contract - ASEAN Australia Codeathon March 2018
	2017-0226 Legal Matter - Advice re subpoena to DIBP seeking documents that include AUSTRAC information
	2017-0227 Legal Matter - Interpretation of Part 1.2 of AML/CTF Rules re 'certified copy'
	2017-0228 Legal Matter - Review of Indemnities in Commonwealth Contracting
	2017-0229 Legal Matter - Advice re State Street's request to share documents with Monitor
	2017-0230 Legal Matter - Legal review of Procurement Complaints Handling Guide
	2017-0231 Legal Matter - Review of exemption instrument - Mutual Management Services
	2017-0232 Legal Matter - Advice re contract for entertainment for Egmont 2018
	2017-0233 Legal Matter - Cyber Security Cooperative Research Centre
	2017-0236 Legal Matter - Whether CBA providing a designated service with phase 1 of New APP product
	2017-0237 Legal Matter - Accidental disclosure of SMR
	2017-0238 Legal Matter - Privacy Impact Assessment for new Enrolment and Registration forms
	2017-0239 Legal Matter - Advice on MOU with Accenture
	2017-0240 Legal Matter - Advice re s 49 Matrix for DIBP
	2017-0241 Legal Matter - Legal review of draft Procurement and Contracting Guideline
	2017-0242 Legal Matter - Legal review of draft Procurement and Contracting Guideline
	2017-0243 Legal Matter - Legal review of drafting instructions for betting vouchers
	2017-0244 Legal Matter - Advice re urgent assistance re subpoena on DIBP re AUSTRAC information
	2017-0245 Legal Matter - Legal review of deed of confidentiality template
	2017-0246 Legal Matter - Whether can disclose information to VCGLR
	2017-0247 Legal Matter - Advice as to confidentiality deed for contractors
	2017-0248 Legal Matter - Advice on discerning a Principal Agency relationship re Mizuho Bank
	2017-0249 Legal Matter - Advice on liability clauses for Egmont entertainment
	2017-0250 Legal Matter - Review of draft AUSTRAC-DIBP data matching program protocol
	2017-0251 Legal Matter - Review of terms of conditions Medibank Partnership
	2017-0252 Legal Matter - Secondment iDCare
	2017-0254 Legal Matter - Review of draft DIBP advice on use of s49 information
	2017-0255 Legal Matter - Appeal against Infringement Notice No 219823
	2017-0256 Legal Matter - Appeal against Infringement Notice No 219823
	2017-0257 Legal Matter - Recovering funding for NPP through industry levy

File number	File name
	2017-0258 Legal Matter - Legal advice on the DFAT Security MOU for overseas deployments
	2017-0259 Legal Matter - Legal review request for International Property MOU with DFAT
	2017-0260 Legal Matter - Use of AUSTRAC information for security vetting
	2017-0261 Legal Matter - Previous advice on operation of Suncity Room in Crown and junket operations
	2017-0262 Legal Matter - Whether international mobile tops are a designated service
	2017-0264 Legal Matter - Previous legal advice about AUSTRAC use of ACIC NCT
	2017-0265 Legal Matter - Advice for ACIC - interpretation of section 122 AML/CTF Act
	2017-0266 Legal Matter - Subpoena from Mills Oakley
	2017-0267 Legal Matter - Review terms and conditions Tableau Software
	2017-0268 Legal Matter - Review of ASD secondment agreement
	2017-0269 Legal Matter - Provide privacy statement for a proposed exemption application form
	2017-0270 Legal Matter - Assistance to QPOL sections 136 and 140
	2017-0271 Legal Matter - Australia's 3rd follow up report to FATF
	2017-0272 Legal Matter - Use of s167 notice for alerts
	2017-0273 Legal Matter - ICT Graduate Program MOU
	2017-0274 Legal Matter - Obligations in relation to travellers cheques
	2017-0275 Legal Matter - Legal advice for s121 cover letter
	2017-0276 Legal Matter - Advice on the Whitecoat payment platform
	2017-0277 Legal Matter - Whether CBS SA is a designated agency
	2017-0278 Legal Matter - Dissemination of AUSTRAC information in death penalty cases
	2017-0279 Legal Matter - Review content for web publication DCE providers
	2017-0280 Legal Matter - Review CIT External communication
	2017-0283 Legal Matter - CIT reporting obligations after 1 April
	2017-0284 Legal Matter - Including Fintel Alliance on Australian Government Organisation Register
	2017-0285 Legal Matter - Review of consent letter for RE participation in usability testing
	2017-0287 Legal Matter - Whether AUSTRAC can remove cash in transit entities from RER
	2017-0288 Legal Matter - Advice to AFP on interpretation of s49
	2017-0289 Legal Matter - Disclosure of remitter registration refusal information
	2018-0001 Legal Matter - Draft Code of Practice relating to DPA
	2018-0002 Legal Matter - Can we promote the new ABPF containing fields to allow DCE registration before 1 April?
	2018-0003 Legal Matter - Review Confidentiality Agreement CoreLogic
	2018-0004 Legal Matter - Can more than one approved registration form be accepted by AUSTRAC from applicants at the same time?
	2018-0005 Legal Matter - Implication on s49 from stand up of Department of Home Affairs
	2018-0006 Legal Matter - Do AML/CTF reporting obligations apply to off-market transfers of securities

File number	File name
	2018-0007 Legal Matter - Meaning of Turf Commission Agents under the AML/CTF Rules
	2018-0008 Legal Matter - TTR obligation where the transaction involves 'split' transactions
	2018-0009 Legal Matter - Disclosure of personal information
	2018-0010 Legal Matter - Whether item 50 includes swapping the denominations of currency
	2018-0011 Legal Matter - Review Modification Tabcorp DBG