



# AUSTRAC file list

## 1 July–31 December 2014

This is a list of relevant file titles created by AUSTRAC between 1 July 2014 and 31 December 2014. It is in accordance with the Senate Continuing Order concerning the production of indexed file title lists, which requires each Minister to table a list of relevant file titles created by their department and portfolio agencies. The original Senate Order was amended in 1998 to require departments and agencies to display their file lists on their websites.

File number	Title
11/630/B	Cost Recovery Program - Enrolment and Registration Approved Forms
14/505	AC723 - Ongoing Engineering Services for Sydney Office Fitout - July 2014
14/591	Searchlight letters - RTS and Delivery Confirmation 2011-13
14/592	Searchlight letters - RTS and Delivery Confirmation - 1 Jul 2013 - 31 Dec 2014
14/857	Outbound / Inbound Searchlight letters 2015
2014/1004	Papua New Guinea Combating Corruption - AGD Reporting 2014-16
2014/1008	AC792 - Senior Analyst Programmer
2014/1009	AC787 - MOU for Helpdesk Services between ATO and AUSTRAC
2014/1010	AC793 - Security Services Panel - SON336277
2014/1011	AC788 - Specialised Data Consultant Services
2014/1012	AC789 - WEB Hosting Technical Support (Moodle Software)
2014/1013	AC794 - ***** Software Licence Support and Maintenance
2014/1014	AC795 - Legal Advice for EA Negotiations 2014
2014/1015	AC791 - Legal Advice relating to the Fair Work Act
2014/1016	AC786 - ***** Legal Advice Civil Penalties
2014/1018	Draft Guidance Note - Politically Exposed Person (PEP) Definition
2014/1019	Draft Rules to extend the ACIP related exemptions
2014/1020	2015 AUSTRAC Typologies and case studies report
2014/1024	AC796 - Senior Analyst Programmer
2014/1027	Proposed notice - entities cancelled from RSR and removed from RE Roll
2014/1028	CEO Instrument - approval of new ABPF enrolment forms
2014/1029	Inquiry into the Acts and Instruments (Framework Reform) Bill 2014
2014/1031	ATO Data Matching Requirements and High Level IT Design Documents 2014-17

<b>File number</b>	<b>Title</b>
2014/1036	FATF Mutual Evaluation - Face to Face Meeting Prep and Briefing 2015
2014/1041	Transition Plan relating to CDD amendments
2014/1042	Strengthening Cooperation with Interpol
2014/1043	AC797 - Temporary Human Resources Officer - ECS
2014/1044	AC798 - Architectural and Design Services for Level 7 Reception / Mail-Room
2014/1045	AC800 - Legal Services for Procurement Template Documents
2014/1046	RE Ops CR14 Letter & Email Campaign - 2014-15
2014/1047	RE Ops Industry Contribution Campaign - February 2015
2014/1048	AC801 - Temporary Intelligence Officer
2014/1049	AC799 - Senior Development DBA
2014/1052	Intranet review 2014-2015
2014/1053	Intranet publishing - Administration 2014-2015
2014/1054	Draft Rules relating to credit card reforms
2014/1055	Infringement Notice Withdrawals SOP
2014/1056	Query around timing of registration of Ministerial Determination
2014/1057	Using s16(4) of the FTR Act vs s49 AML/CTF Act
2014/1060	AC802 - Project Manager Resource - PMO
2014/1061	AC803 - Business Analyst Resource - PMO
2014/1062	FATF Plenary Meeting Paris February 2015
2014/1063	AML/CTF Rules - Internal consideration of credit card reforms
2014/1066	CEO emails to all staff 2014-2015
2014/1067	Intelligence Oversight Committee 2015
2014/1075	AML/CTF Rules - Amendments to Chapter 11 - Compliance Report 2014
2014/1077	Chapter 4 AML/CTF Rules - legal interpretation & application to verify customer
2014/1079	Counter-Terrorism Legislation Amendment (Foreign Fighters) Act 2014 - s49 info
2014/1083	AC806 - Mobility Solution Software Service
2014/1084	AC807 - Mobility Solution Hardware
2014/1085	AC805 - Fraud Risk Assessment Review
2014/1088	AUSTRAC Strategic Footprint
2014/1090	AUSTRAC Australia Day Medallions awarded January 2015 - Planning
2014/1091	AUSTRAC Australia Day Medallions awarded January 2015
2014/1093	AUSTRAC Compliance Guide - stakeholder feedback and requests
2014/1095	MoU Hungarian FIU
2014/1097	IGiSEA Program - Cambodia FIU requesting a study visit to AUSTRAC in 2015
2014/1100	CR14 Non-reporting claims
2014/1101	14/780 - RE Ops Help Desk Correspondence Jan - Mar 2015

<b>File number</b>	<b>Title</b>
2014/1102	14/781 - RE Ops Help Desk Correspondence Apr - Jun 2015
2014/1103	14/782 - RE Ops Help Desk Correspondence Jul - Sep 2015
2014/1104	14/783 - RE Ops Help Desk Correspondence Oct - Dec 2015
2014/1105	Executive Office planning 2014-2015
2014/1107	AML/CTF Act - annotated copy
2014/1108	RIS Assessment on the proposed legislative reforms to the AML/CTF Act
2014/1109	Senate Estimates 2015 - February
2014/1110	Review of documents for Internal Audit - Comments made by Legal (Copy Only)
2014/1112	AEC - access to Electoral Roll - purpose of providing identification services
2014/1114	Registered Tax Agents - whether captured under the AML/CTF Act
2014/1116	AC808 - Temporary Executive Assistant
2014/1117	AC809 - Temporary Contact Centre Contractor
2014/1118	AC810 - Temporary Contact Centre Contractor
2014/1124	Germany - International Enquires
2014/1125	Review of the AML/CTF Act - Report to Government
2014/1127	Remittance Sector and Banking Services - Issues and Approaches re: Closures
2014/1128	AC804 - Management advisory services - SON48590
2014/1129	AC811 - Senior UNIX System Administrator
2014/1130	AC812 - UNIX Systems Team Leader
2014/1134	AC815 - Temporary Intelligence Officer Resource
2014/1135	AC816 - Temporary Intelligence Officer Resource
2014/1137	Commonwealth's Protective Security Policy Framework (PSPF) - legal review
2014/1138	Legal requirement - publication of signed documents on AUSTRAC website
2014/1140	AC813 - Business Analyst Resource - PMO
2014/1141	AC814 - Legal Matter for *****
2014/1144	AUSTRAC National Staff Survey - 2014
2014/1145	APG - Coordination of Australian responses to papers/issues - 2015
2014/1146	International internal briefings - 2015
2014/1147	Asia Pacific Group (APG) Donors and Providers Group (DAP) meetings 2015-2016
2014/1148	Egmont OpWG ML TF through trade in diamonds and precious stones project 2014-15
2014/1149	Extrinsic database access 2015-2016
2014/1153	Meetings with DFAT Ambassadors and Officials 2014-16
2014/1154	2015 Review of AUSTRAC CEO Delegations per AML/CTF Act
2014/1156	2015 - Technical and non-controversial amendments to the AML/CTF Act
2014/1157	Domestic Policy Questions and Decisions 2015
2014/1158	External Documentation Reviewed by Domestic Policy 2015

<b>File number</b>	<b>Title</b>
2014/1159	Internal Documentation Reviewed by Domestic Policy 2015
2014/1160	Government Deregulation Policy and Agenda 2015-2016
2014/1161	Submissions and briefs prepared by Domestic Policy 2015
2014/1162	IGiSEA - Philippines Workshop 1 Feb 2015 - Planning & content
2014/1163	IGiSEA - Philippines Workshop 1 Feb 2015 - Financial Approvals & Expenditure
2014/1173	AUSTRAC Branding and Marketing Working Group 2014-2018
2014/1187	Domestic Policy Research 2014-2015
2014/1188	14/857 - Outbound / Inbound Searchlight letters 2015
2014/1189	AC818 - Purchase of APC Rack Mount Transfer Switches
2014/619	Corporate Communications Copyright Requests 2014-16
2014/620	External Communications Strategies 2014-15
2014/621	Internal Communication programs 2014-15
2014/622	Media Backgrounders 2014-15
2014/623	Media Enquiry Responses 2014-15
2014/624	Media Interviews 2014-15
2014/625	Media Monitoring Clippings 2014-15
2014/626	Media Releases 2014-15
2014/627	Media Talking Points 2014-15
2014/628	Typologies and case studies report 2015
2014/629	Corporate Communications procurement acquisition 2014-15
2014/631	Corporate Communications procurement development 2014-15
2014/632	Egmont Group Ongoing Support Work 2014-16
2014/633	International internal briefings - 2014
2014/634	APG - Coordination of Australian responses to papers/issues - 2014
2014/635	Egmont Biennial Census 2015
2014/636	Speeches and Presentations at Government Events 2014-2015
2014/637	Government Relations advice provided within AUSTRAC 2014-15
2014/638	Meetings with Australian Government departments and agencies 2014-15
2014/639	CEO meetings with AUSTRAC Minister 2014-15
2014/640	Question Time Briefs (QTB) 2014-15
2014/641	General Ministerial Briefs 2014-15
2014/642	Questions on Notice - The Senate and House of Representatives 2014-15
2014/643	Minister for Justice Office - correspondence 2014-15
2014/644	Attorney-General's Department Correspondence 2014-15
2014/645	Ministerial Correspondence 2014-15
2014/646	Ministerial Submissions 2014-15

<b>File number</b>	<b>Title</b>
2014/647	Attorney-General submissions 2014-15
2014/648	HOCOLEA 59 reports and papers
2014/649	Senate file list July to December 2014
2014/650	Egmont Meeting 2014 10 Paris - EC intersessional meeting
2014/651	Senate Estimates 2014 - October
2014/652	Egmont Meeting 2015 01 Berlin, Germany - EC and Working Groups
2014/653	Web Accessibility - National Transition Strategy progress survey - June 2014
2014/654	AUSTRAC Industry Contribution - Stakeholder Consultation 2014-15
2014/657	Monitoring Disseminations 2014-15
2014/658	Monitoring reporting statistics 2014-15
2014/659	DFAT Australian Aid PSLP Grant Funding 2014-16
2014/660	Pacific PSLP - DFAT Australian AID Reporting 2014-2016
2014/661	Pacific PSLP - Reporting (non DFAT Australian AID) 2014-2016
2014/663	General Enquiries and Requests - External - Compliance Remittance Sector 2014-15
2014/664	General Enquiries and Requests - Internal - Compliance Remittance Sector 2014-15
2014/665	SRAT Dissemination Comments 2013-14
2014/666	SRAT Dissemination Comments 2014-15
2014/668	SRAT Reporting Statistics 2014-15
2014/669	SUSTRs / SRATs Manual Disseminations 2013-15
2014/670	SUSTRs / SMRs Special Disseminations 2014-15
2014/678	2014-15 General Enquiries and Request - Central Region
2014/682	14/489 - AC718 - Property and Facilities Maintenance Services
2014/688	AUSTRAC Industry Contribution - Legislation
2014/689	Industry Contribution communication programs 2014-2016
2014/693	14/496 - AC719 - Australian Government Solicitor - PCR Team Support
2014/694	14/497 - AC720 - ***** Maintenance
2014/706	14/505 - AC723 - Ongoing Engineering Services - Sydney Office Fitout - July 2014
2014/707	IGiSEA - Regional Seminar - Philippines 2015 - Logistics
2014/708	IGiSEA - Regional Seminar - Philippines 2015 - Financial approvals & Expenditure
2014/710	Information sharing under the Telecommunications Interception Act
2014/711	Proposed repeal of the Ministerial determinations for AUSTRAC's Cost Recovery
2014/717	Papua New Guinea - Combating Corruption 2014-16 - Planning & Content
2014/718	Papua New Guinea - Combating Corruption 2014-16 - Logistics
2014/719	Papua New Guinea - Combating Corruption 2014-16 - Expenditures
2014/724	14/514 - AML/CTF Act - Requests for no-action letters received 2014-15
2014/725	14/515 - Applications for waiver and disputes - 2014-15 levy period

<b>File number</b>	<b>Title</b>
2014/730	FATF mutual evaluation 2014 - Compliance working papers and submissions
2014/732	Domestic Policy - Personnel Planning 2014-15
2014/735	AC717 - F5 Appliances and Associated Software Licences
2014/736	AC726 - MASCOT / ACERTAIN System access
2014/737	AC729 - Major Internet Connection Services (MICS)
2014/738	AC730 - ***** & ***** Maintenance
2014/739	AC725 - ***** Software Maintenance and Support 2012-2015
2014/740	AC724 - Printing of Terrorism Financing in Australia report - 2014
2014/741	AC727 - Legal Advice - Civil penalty proceedings - Civil litigation stage 1
2014/742	AC728 - Remitters Conference 2014
2014/762	Major Reporters Forum - October 2011
2014/763	AC731 - ***** - Purchase of Ergonomic Chairs
2014/764	AC732 - ***** - Purchase of Secure Storage Units
2014/765	AC733 - ***** - Purchase of Office Furniture and Workstations
2014/766	AC734 - ***** - Purchase of Ergonomic Chairs
2014/767	AC735 - ***** - Procurement of Office Furniture and Workstations
2014/768	AC736 - ***** - Procurement of Office Furniture and Workstations
2014/769	AC737 - Procurement for Bulk Mail-Out Service
2014/770	AC738 - Code of Conduct Investigative Services
2014/771	AC739 - Procurement for External Legal Services
2014/772	AC740 - Secure Transport Services
2014/773	Privacy Advice 2014-15
2014/781	Compliance and Enforcement SOPs - Final
2014/782	Compliance and Enforcement SOPs - Drafting
2014/783	Electronic retention vs physical retention of signed contracts
2014/786	Remittance Sector Register - Reporting Entity Guidance
2014/787	Compliance Reporting 2014 - communication and planning
2014/790	Enterprise Agreement 2014-2017 - ad hoc advice
2014/792	AC742 - Senior Analyst Programmer
2014/793	AC743 - IT Team Leader
2014/794	AC744 - IT Team Leader
2014/797	HoR Committee on Economics Inquiry - Foreign Investment Residential Real Estate
2014/802	AC741 - ***** Hardware Support and Maintenance
2014/803	IndoARCC ARS Workshop - September 2014 - Planning and Content
2014/804	IndoARCC ARS Workshop - September 2014 - Logistics
2014/816	Major Reporters Forum - April 2015

<b>File number</b>	<b>Title</b>
2014/817	DoF - AUSTRAC's potential requests for amendments - PGPA Act
2014/818	AUSTRAC internet page - publishing Enforcement notices
2014/819	APG CANZUS Sub-Regional Group Correspondence 2014-2016
2014/820	Tonga - International Enquiries
2014/821	AC746 - IT Service Coordinator
2014/822	AC747 - Network System Engineer
2014/828	2014 International Supervisors Forum - Stakeholder Liaison
2014/829	2014 International Supervisors Forum - Agenda and Content
2014/832	Industry Contribution - Amendments to Levy and Levy Collection Acts
2014/833	AML/CTF Rules - AUSTRAC Industry Contribution Levy
2014/836	AC748 - Asset Disposal and Valuation Services
2014/841	AC749 - Temporary Intelligence Officer
2014/842	AC750 - Temporary Human Resources Officer - WCP
2014/843	AC721 - National Property Advisory and Services Panel
2014/844	AC722 - Provision of Cleaning and Maintenance Services Panel
2014/845	AC751 - IFTI Workshop Dinner
2014/847	PSLP - Cambodia Workshop November 2014 - Planning & content
2014/848	PSLP - Cambodia Workshop November 2014 - Financial approvals & expenditure
2014/850	SARISA Workshops - Module 4
2014/852	AC745 - Air Conditioning Water Filters for the Melbourne Comms Room
2014/853	AC753 - Temporary Executive Assistant
2014/854	AML/CTF Rules – Collection of KYC information from non-customers
2014/857	Draft Guidance Note - Renewal of Registration
2014/858	Explanatory Memorandum - proposed amending Bills - AUSTRAC Industry Contribution
2014/859	s49 notice - electronic service - IT Design Authority Meeting 14 August 2014
2014/860	Interpretation of designated service - item 50, table 1, section 6, AML/CTF Act
2014/861	2014-15 Ministerial Determination for the Industry Contribution
2014/864	AC752 - Consultancy to draft Standard Operating Procedures Manual - Enforcement
2014/866	AML/CTF Rules – Amendments to Chapter 59 - significant ML / TF / PS risk
2014/867	AML/CTF Rules – Amendments to Chapter 59 - significant ML / TF / PS risk
2014/872	FOI Act Sections 26A, 26AA, 27 and 27A - Consultation with third parties
2014/873	Proposed amendments to Chapter 59 AML/CTF Rules - suspending a registration
2014/874	DFAT suggested amendments - international MOU template - death penalty
2014/875	AC754 - Consultancy to Facilitate Senior Management Training
2014/883	AC755 - Australian Government Solicitor - Legal Advisory Services
2014/884	AC764 - AGS Legal Advice on potential claims for public interest immunity

<b>File number</b>	<b>Title</b>
2014/885	AC757 - Department of Health Panel for Labour Hire Providers - SON442663
2014/886	AC758 - Department of Communications Panel for Recruitment Services - SON1180562
2014/887	AC759 - ATO Provision of Labour Hire Services - SON1834831
2014/888	AC760 - ATO Provision of Recruitment Services - SON1024371
2014/889	AC761 - APSC Panel for Recruitment Services - SON393566
2014/890	AC762 - Department of Defence Panel for Professional Services - SON2071251
2014/891	AC763 - IP Australia Human Resource Management Service Providers - SON316524
2014/893	FATF Mutual Evaluation - Key Documents Received and Submitted 2014-15
2014/895	Review of the AML/CTF Act - Meetings with stakeholders
2014/899	AC765 - Printing of the Annual Report for 2013-14
2014/900	AC766 - Defence Infrastructure Panel 2010 - SON248776
2014/901	AC767 - ABS Building Services Panel - SON1864071
2014/902	AC768 - Austrade Human Resources Panel - SON288440
2014/904	Request for D&IR guidance - Domestic Agencies 2014-15
2014/906	AC769 - Temporary Administration Support Officer - PMO
2014/907	AC770 - Electronic Information Resources and Service Arrangements - SON839071
2014/916	INTL MOU - Timor Leste
2014/917	Nauru - International Enquiries
2014/919	AML/CTF Act s133 - provision of information to foreign intelligence agencies
2014/920	Remedial Direction - Publication of statement given by AUSTRAC CEO
2014/922	AC772 - Business Architect for the Operations Support Branch
2014/923	AC773 - CSIRO Panel for Freight Services - SON1332551
2014/925	DFAT - APEC Economic Diplomacy Fund (EDF) - 2014-2015
2014/926	AUSTRAC Industry Contribution - Ministerial Determination 2014-15
2014/928	Compliance Assessment Report - REs providing to other government agencies
2014/936	AC774 - Procurement of Internet Based Network Connection Services
2014/937	AC777 - Team Leader, Database Systems
2014/938	AC776 - AGS Legal Advice on Cancelling Registration within the AML/CTF Rules
2014/940	FATF Plenary Meeting Paris October 2014
2014/941	AC779 - Temporary Intelligence Officer
2014/942	AC780 - Temporary Intelligence Officer
2014/944	AC781 - Temporary Intelligence Officer
2014/945	AC782 - Temporary Intelligence Officer
2014/946	AC783 - Temporary Intelligence Officer
2014/947	AC784 - Temporary Intelligence Officer



<b>File number</b>	<b>Title</b>
2014/948	Planning, coordination and relief - working papers 2014-15
2014/950	MOU - Australian Border Force (ABF)
2014/951	Treaty Agreement - European Police Office (Europol)
2014/952	AC778 - Web Migration Resource
2014/954	AC785 - Enterprise Architect
2014/955	WoG Talking points on Syria and Iraq 2014-15
2014/959	AML/CTF Act Chapter 15 - monitoring the transactions of customers
2014/963	AUSTRAC CEO to delegate the power under section 47, PID Act
2014/964	Domestic Policy - Intranet accessible documents
2014/965	AUSTRAC - PPATK analyst exchange program 2014
2014/967	AC775 - Web Migration Resource
2014/975	Draft Rules Ch4 AML/CTF Act - REs to collect customer Info from other sources
2014/977	National Security Operations - Proactive analysis 2014-15
2014/978	National Security Operations - Proactive analysis 2014-15 - Restricted
2014/985	Brazil Visit Programs
2014/987	Executive Assistant Support - CEO - 2014
2014/990	AUSTRAC Regulatory Impact Calculator - Development
2014/995	AC790 - Procurement for Replacement IT Hardware and Support