



**Australian Government**  
**Australian Transaction Reports  
and Analysis Centre**

## **Exemption**

### **Section 248 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006***

I, Thomas M Story, AUSTRAC Executive General Manager, pursuant to paragraph 248(1)(a) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act), hereby exempt Third Link Investment Managers Pty Ltd ABN 31 128 965 702 of Suite 1, Level 6, 6-10 O'Connell Street, Sydney NSW 2000 (**the applicant**) from the following specified provisions of the AML/CTF Act:

- a) item 33 and item 54 of table 1 in subsection 6(2) of the AML/CTF Act (**the specified designated services**).

### **Conditions**

Pursuant to paragraph 248(2)(b) of the AML/CTF Act, the exemption is subject to the following conditions:

1. The applicant must inform the AUSTRAC CEO or delegate of any changes that may affect the exemption and/or conditions imposed by this written instrument no later than 14 days after the relevant change(s) occurring.
2. The applicant only provides the specified designated services to Treasury Group Investment Services Limited ABN 38 099 932 920 in its capacity as responsible entity (as defined in section 9 of the *Corporations Act 2001*) of the Third Link Growth Fund ARSN 130 165 552.

A handwritten signature in black ink, appearing to read 'Thomas M Story', written over a horizontal dotted line.

Thomas M Story  
EXECUTIVE GENERAL MANAGER

A handwritten date '26/10/2009' in black ink, written over a horizontal dotted line.

### **Important Notice to the applicant**

1. If any changes occur that affect the exemption and/or conditions imposed by this instrument, the applicant must ask the AUSTRAC CEO or delegate for a variation or reissue (as applicable) of this instrument no later than 14 days after the relevant change(s) occurring.
2. Pursuant to subsection 248(3), the person granted the exemption must comply with the conditions set out in the instrument. Failure to comply with those conditions may result in:
  - the revocation of the exemption; and
  - the AUSTRAC CEO making an application to the Federal Court of Australia for a civil penalty order requiring the person to pay a pecuniary penalty in respect of such a breach.
3. It is an offence under sections 136 and 137 of the AML/CTF Act to provide false or misleading information or documents. If any of the information or documents submitted by the applicant or its representatives is found to be false or misleading, the exemption may be revoked, and action may be initiated against the applicant.

The person granted the exemption may request the AUSTRAC CEO at any time to revoke the exemption.