



## NOTICE

### SUBSECTION 162(2) OF THE *ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM FINANCING ACT 2006*

**TO:** A2Z Communication Pty Limited ACN 115 135 781, Unit 4, 27 John Street, Granville, New South Wales 2142.

I, JOHN LANCE SCHMIDT, Chief Executive Officer of the Australian Transaction Reports and Analysis Centre (**AUSTRAC**) consider that I have reasonable grounds to suspect that A2Z Communication Pty Limited (**A2Z Communication**) is a reporting entity that has contravened and/or is contravening the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (**AML/CTF Act**) and the *Anti-Money Laundering and Counter-Terrorism Financing Rules 2007* (*No. 1*) (**AML/CTF Rules**).

Acting under subsection 162(2) of the AML/CTF Act, I REQUIRE THAT:

**Within 28 days of the date of this Notice, A2Z Communication must:**

Appoint an external auditor who has been authorised by the AUSTRAC CEO under section 164 of the AML/CTF Act, and who meets the requirements of subsection 162(6) of the AML/CTF Act, and arrange for the external auditor to carry out an external audit as described in this notice including giving a written report (**the audit report**) to A2Z Communication.

**Within 100 days of the date of this Notice, A2Z Communication must:**

Give me a copy of the external auditor's audit report to A2Z Communication that sets out the results of an audit by the external auditor of A2Z Communication's compliance and existing capacity to comply with the AML/CTF Act, the *Anti-Money Laundering and Counter-Terrorism Financing Regulations 2008* (**Regulations**) and the AML/CTF Rules, in particular with:

- (i) Section 45 of the AML/CTF Act, in particular subsection 45(2) and paragraph 45(3)(b) of that Act;
- (ii) Section 47 of the AML/CTF Act, in particular subsection 47(2) and paragraph 47(3)(b) of that Act;
- (iii) Section 81 of the AML/CTF Act; in particular subsection 81(1) of that Act;
- (iv) Chapter 4 of the AML/CTF Rules;
- (v) Chapter 8 of the AML/CTF Rules;
- (vi) Chapter 15 of the AML/CTF Rules; and
- (vii) Chapter 17 of the AML/CTF Rules.

**The matters to be covered by the audit are:**

- (a) An assessment of A2Z Communication's compliance with the AML/CTF Act, the Regulations and the AML/CTF Rules, in particular with each of the specified provisions set out above;
- (b) An assessment of A2Z Communication's existing capacity to comply with this Act, the Regulations and the AML/CTF Rules; and
- (c) An assessment of what A2Z Communication will need to do, or continue to do, to comply with this Act, the Regulations and the AML/CTF Rules.

**The audit report must be in a form that:**

- (a) Accords with any Australian standard applicable to that kind of audit report, or which in the opinion of the external auditor accords with the closest applicable Australian standard; and
- (b) Accords with the format and style in which the external auditor would prepare a report suitable to annexe to an expert witness affidavit the external auditor would make in Federal Court of Australia proceedings.

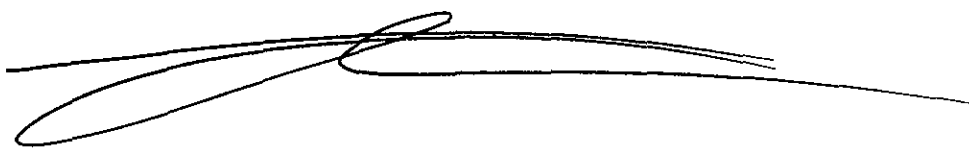
**The audit report must contain details of:**

- (a) Any provisions of the AML/CTF Act and/or the AML/CTF Rules which the external auditor concludes A2Z Communication has contravened and/or is contravening; and
- (b) The facts on which the auditor has relied to conclude any provisions identified in (a) have been contravened and/or are being contravened; and
- (c) Any measures which the external auditor believes A2Z Communication should implement to ensure it ceases to contravene, or is unlikely in the future to contravene, the AML/CTF Act and/or the AML/CTF Rules; and
- (d) Any measures which the external auditor believes A2Z Communication should implement to ensure that it complies with the AML/CTF Act, the Regulations and the AML/CTF Rules; and
- (e) The capacity of A2Z Communication to implement the measures set out in (c) and (d).

The audit report is to be submitted to:

John Lance Schmidt  
Chief Executive Officer  
AUSTRAC  
PO Box 5516, West Chatswood, NSW 1515

Dated this 26<sup>th</sup> day of JUNE 2012



John Lance Schmidt  
CHIEF EXECUTIVE OFFICER