



## **Anti-Money Laundering and Counter-Terrorism Financing (Modification—ADI CBM) Declaration 2023 (No. 5)**

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I, Daniel Mossop, make the following declaration as a delegate of the AUSTRAC CEO.

Dated 22 September 2023

A handwritten signature in blue ink, appearing to read 'D Mossop', is positioned above the printed name.

Daniel Mossop  
National Manager  
Reform Policy and Mutual Evaluation  
AUSTRAC

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# Contents

1 Name.....	1
2 Commencement .....	1
3 Cessation.....	1
4 Authority.....	1
5 Definitions .....	1
6 Application .....	1
7 Schedules .....	1
<b>Schedule 1—Modification</b>	<b>2</b>
<i>Anti-Money Laundering and Counter-Terrorism Financing Act 2006</i>	2
<b>Schedule 2—Repeals</b>	<b>6</b>



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## 1 Name

This instrument is the *Anti-Money Laundering and Counter-Terrorism Financing (Modification—ADI CBM) Declaration 2022 (No. 5)*.

## 2 Commencement

This instrument commences on the day it is signed.

## 3 Cessation

This instrument ceases to have effect on 30 June 2026.

## 4 Authority

This instrument is made under paragraph 248(1)(b) of the Act.

## 5 Definitions

Note: A number of expressions used in this instrument are defined in section 5 of the Act, including the following:

- (a) ADI;
- (b) AML/CTF Rules;
- (c) bearer negotiable instrument;
- (d) monetary instrument;
- (e) move.

In this instrument:

*Act* means the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*.

## 6 Application

This instrument applies to a person specified in the table in Schedule 1.

## 7 Schedules

Each instrument that is specified in a Schedule to this instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to this instrument has effect according to its terms.

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## Schedule 1—Modification

### *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

#### 1 After subsection 53(6)

Insert:

#### **Specified persons**

- (6A) Subsections (1) and (2) do not apply if:
- (a) the person is specified in table 1 of subsection 53(6B) ; and
  - (b) the monetary instruments are bearer negotiable instruments; and
  - (c) either:
    - i. the person moves the bearer negotiable instruments out of Australia by sending the instruments out of Australia by consignment, either through the post to a place outside Australia or to another person for carriage to a place outside Australia; or
    - ii. the person moves the bearer negotiable instruments into Australia by sending the instruments into Australia.

(6B) Table 1 is as follows:

Table 1	Specified person
1.	an ADI
2.	HSBC Custody Nominees (Australia) Limited (HCNA) (ABN 43003094568)
3.	The Hongkong and Shanghai Banking Corporation Limited – Sydney Branch (HBAP-SYH) (HBAU) (ABN 65117925970)
4.	AF&L Gold Pty Ltd (ABN 41630249679)
5.	AF&L Yellow Pty Ltd (ABN 84652654414)
6.	AFSH Nominees Pty Ltd (ABN 51143937437)
7.	Diners Club Pty Limited (ABN 35004343051)
8.	Invia Custodian Pty Limited (ABN 33006127984)
9.	JBWere Limited (ABN 68137978360)
10.	JBWere (NZ) Nominees Limited (9429031839822)
11.	JBWere (NZ) Pty Ltd (ABN 13138488418)
12.	Margin Lending (NZ) Limited (9429031559744)
13.	Medfin Australia Pty Ltd (ABN 89070811148)
14.	nabSecurities, LLC (CN 2948285)
15.	NAB Japan Securities Limited (0100-01-198054)
16.	NAB Trust Services Limited (ABN 77618250874)
17.	National Australia Bank Europe S.A. (884564642)
18.	National Australia Finance (Commercial Leasing) Limited (02248489)
19.	National Australia Finance (Vessel Leasing No.1) Limited (04954372)
20.	National Australia Finance (Vessel Leasing No.5) Limited (06301770)
21.	National Australia Finance (Vessel Leasing) Limited (05223504)
22.	National Australia Managers Limited (ABN 70006437565)

23.	National Margin Services Pty Limited (ABN 81088233872)
24.	National Nominees Limited (ABN 51004278899)
25.	NMS Nominees Pty Ltd (ABN 62088233792)
26.	WealthHub Securities Limited (ABN 83089718249)
27.	WealthHub Securities Nominees Pty Limited (ABN 58089354889)
28.	Institutional Securitisation Services (ABN 30004768807)
29.	ANZ Leasing (BWC Financing ) Pty Ltd (ABN 51123210239)
30.	ANZ Margin Services Pty Ltd (ABN 88005949664)
31.	ANZ Nominees Pty Limited (ABN 96005357568)
32.	ANZ Staff Superannuation (Australia) Pty Ltd (ABN 92006680664)
33.	ANZ Underwriting Limited (ABN 67000913271)
34.	ANZEST Pty Ltd (ABN 83080438419)
35.	ANZ Leasing (MAGA) Pty Ltd (ABN 69623009129)
36.	ANZI Holdings Pty Ltd (ABN 27628995791)
37.	ANZ Bank New Zealand Limited (35976)
38.	ANZ Securities (DOS ID 1810299)
39.	PT Bank ANZ Indonesia
40.	ANZ Bank (Vietnam) Limited (0104005099)
41.	Australia and New Zealand Bank (China) Company Limited (No. 310000400631456)
42.	ANZ Investment Services (New Zealand) Limited (378380)
43.	ANZ New Zealand Investments Nominees Limited (633618)
44.	ANZ New Zealand Investments Limited (424261)
45.	ANZ Custodial Services New Zealand Limited (1566053)
46.	OneAnswer Nominees Limited (1661548)
47.	Australia and New Zealand Banking Group (PNG) Limited (1-6149)
48.	ANZ Securities (Japan) Limited (8-0100-0118-3182)
49.	ASGARD Capital Management Ltd (ABN 92009279592)
50.	BT (Queensland) Pty Limited (ABN 49009818875)
51.	BT Funds Management (NZ) Limited (447393)
52.	BT Funds Management Limited (ABN 63002916458)
53.	BT Funds Management No. 2 Limited (ABN 22000727659)
54.	BT Portfolio Services Ltd (ABN 73095055208)
55.	BT Securities Ltd (ABN 84000720114)
56.	Capital Finance Australia Limited (ABN 23069663136)
57.	GIS Private Nominees Pty Limited (ABN 93000626264)
58.	NET Nominees Limited (ABN 94090122375)
59.	Sallmoor Pty Ltd (ABN 98006065069)
60.	St.George Business Finance Pty Limited (ABN 82010738271)
61.	St.George Finance Limited (ABN 99001094471)
62.	St.George Motor Finance Limited (ABN 53007656555)
63.	Value Nominees Pty. Limited (ABN 90001827998)
64.	Westpac Bank-PNG-Limited (1-5295)
65.	Westpac Debt Securities Pty Limited (ABN 37067162707)
66.	Westpac Europe Limited (5660032)
67.	Westpac Financial Services Ltd (ABN 20000241127)

68.	Westpac Leasing Nominees-VIC.-Pty Limited (ABN 58008575997)
69.	Westpac New Zealand Limited (1763882)
70.	Westpac New Zealand Staff Superannuation Scheme Trustee Limited (6020407)
71.	Westpac RE Limited (ABN 80000742478)
72.	Westpac Securities Limited (ABN 39087924221)
73.	Westpac Structured Products Limited (ABN 32063479247)
74.	Westpac Syndications Management Pty Ltd (ABN 34002287336)
75.	Westpac Europe GmbH (HRB 118483)
76.	Macquarie Equities Limited (ABN 41002574923)
77.	Bond Street Custodians Limited (ABN 57008607065)
78.	Macquarie Investment Management Limited (ABN 66002867003)
79.	Macquarie Investment Services Limited (ABN 73071745401)
80.	Macquarie Securitisation Limited (ABN 16003297336)
81.	Macquarie Prism Pty Limited (ABN 33082038328)
82.	Macquarie Leasing Pty Limited (ABN 38002674982)
83.	Equitas Nominees Pty Limited (ABN 53006435810)
84.	Commonwealth Securities Limited (ABN 60 067 254 399)
85.	Residential Mortgage Group Pty Ltd (ABN 82 152 378 133)
86.	Commonwealth Bank Officers Superannuation Corporation Pty Limited (ABN 76 074 519 798).
87.	ASB Bank Limited (398445)
88.	ASB Group Investments Limited (533945)
89.	ASB Nominees Limited (968320)
90.	ASB Securities Limited (968292)
91.	CBFC Leasing Pty. Limited (ABN 89008520965)
92.	Commonwealth Australia Securities LLC (3961471)
93.	Commonwealth Bank of Australia (Europe) N.V. (72946520)
94.	Commonwealth Private Limited (30125238039)
95.	CommSec Custodial Nominees Pty Limited (11661910345)
96.	CTB Australia Limited (080744)
97.	PT Bank Commonwealth (9120306442732)
98.	Bendigo Superannuation Pty Ltd (ABN 23644620128)
99.	Sandhurst Trustees Ltd (ABN 16004030737)
100.	National Mortgage Market Corporation Pty Ltd (ABN 52006325640)
101.	AMP Capital Investors Limited (ABN 59001777591)
102.	AWM Services Pty Ltd (ABN 15139353496)
103.	ipac Asset Management Limited (ABN 22003257225)
104.	N.M. Superannuation Proprietary Limited (ABN 31008428322)
105.	National Mutual Funds Management Limited (ABN 32006787720)
106.	NMMT Limited (ABN 42058835573)



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## **2 After subsection 54(3)**

Insert:

### ***Specified persons***

- (3A)** Subsections (1) and (2) do not apply if:
- (a) the person is specified in table 1 of subsection 53(6B) ; and
  - (b) the monetary instruments received are bearer negotiable instruments.

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## Schedule 2—Repeals

### *Anti-Money Laundering and Counter-Terrorism Financing (Modification—ADI CBM) Declaration 2022 (No. 3)*

#### **1 The whole of the instrument**

Repeal the instrument

#### **Important Notice to the person named in this instrument**

1. Under sections 136 and 137 of the Act, it is an offence to provide false or misleading information or documents. If any of the information submitted by the applicant or its representatives is found to be false or misleading, the modification may be revoked and action initiated against the applicant.
2. The person granted the modification may request the AUSTRAC CEO to revoke or vary it at any time.
3. Any request to vary this modification must be submitted to the AUSTRAC CEO or an approved delegate no later than 90 days before the date the change is requested to commence.