

s 22(1)(a)(ii)

From: Jason Waters <s 22(1)(a)(ii)>
Sent: Thursday, 9 November 2023 10:52 AM
To: Peter Soros
Subject: Re: Invitation to participate in The Perth Mint stakeholder perceptions research [EXTERNAL] [SEC=OFFICIAL]

Perth Mint - Highly Confidential

Thanks Peter, I agree that's a better approach.
Kind regards,
Jason

From: Peter Soros <s 22(1)(a)(ii)>
Sent: Thursday, November 9, 2023 5:37:40 AM
To: Jason Waters <s 22(1)(a)(ii)>
Subject: RE: Invitat Mint stakeholder perceptions research [EXTERNAL] [SEC=OFFICIAL]

External Message - Please be cautious when opening links or attachments in this email

OFFICIAL

Hi Jason

Thanks for this email.

I think I'd just prefer to meet with Paul when starts and engage with him directly.

Regards

Pete

Peter Soros
A/Chief Executive Officer

P: s 22(1)(a)(ii)
E: s 22(1)(a)(ii)

T: @AUSTRAC **W:** www.austrac.gov.au



OFFICIAL

From: Jason Waters <s 22(1)(a)(ii)>
Sent: Tuesday, 7 November 2023 7:28 PM
To: Peter Soros <s 22(1)(a)(ii)>
Subject: Invitation to participate in The Perth Mint stakeholder perceptions research [EXTERNAL]

Dear Peter

At The Perth Mint we are taking action to enhance our governance and sustainability structures and processes.

We are committed to improving how we engage with our stakeholders and as part of this we are embarking on research to understand, evaluate and monitor our performance.

Our incoming CEO Paul Graham would appreciate the opportunity to hear directly from you on what you think we are doing well and how you would like us to improve over the next 12 months as we develop a stakeholder engagement roadmap.

As a valued stakeholder of The Perth Mint, I am pleased to invite you to participate in this initiative.

We have appointed SEC Newgate Research*, an independent research company to conduct this research, to enable our stakeholders to participate openly and confidentially. Your participation would take the form of an unattributable 30-minute interview conducted by a SEC Newgate senior consultant via video link, telephone or in person at a time in November that suits you.

A SEC Newgate consultant will contact your office in the next few days to schedule the interview. If you would prefer to contact SEC Newgate directly, please contact s 22(1)(a)(ii) from SEC Newgate on s 22(1)(a)(ii) or via email: s 22(1)(a)(ii)

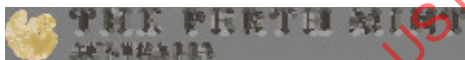
If you would prefer not to take part in the study this year, or for any queries please contact s 22(1)(a)(ii) via s 22(1)(a)(ii)

I would greatly appreciate your participation and thank you for supporting The Perth Mint in this initiative

Kind Regards
Jason

* SEC Newgate Research is quality accredited to ISO 20252:2019 and its researchers are members of The Research Society, complying with its Code of Professional Behaviour and the Australian Privacy Principles (2014). For further details, please feel free to view its [privacy policy](#).

Jason Waters
Chief Executive Officer
M. s 22(1)(a)(ii) W. perthmint.com



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Email 1

AUSTRAC - The Perth Mint [EXTERNAL]

Jason Waters <s 22(1)(a)(ii)>

Thu 15/12/2022, 5:43 PM

Nicole Rose

s 22(1)(a)(ii)

You replied on 16/12/2022 8:15 AM.

Perth Mint - Confidential

Hello Nicole,

I thought I would write to you to introduce myself given my fairly recent arrival at The Perth Mint and the body of work we have underway currently.

I have previously met with Brad Brown from your team and was very impressed with the professionalism shown by him and your staff working with him on our AML/CTF compliance issues.

I'm pleased to say that since joining the Mint and understanding the issues that we have I have encountered nothing but full support from the Executive Team, Board and WA Government in regards to our remediation efforts and we now have a dedicated remediation project team of approximately 30 people that is building good momentum towards a sustainable AML/CTF compliance environment.

The external Auditor has been working with the business for a couple of weeks and the engagement has been very good, I look forward to seeing their findings in the new year and also their feedback on our remediation efforts to date and future plans.

If you would like a more detailed update on our progress, or if you have any other concerns regarding our AML/CTF compliance activities, please do not hesitate to call me on the number below. Also, I will be in Sydney during the week commencing 13 March next year and, subject to your availability, would be keen to meet you briefly in person to introduce myself properly.

In the meantime I hope you have a Merry Christmas and enjoy a break over the new year.

Kind regards,

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Jason Waters

Jason Waters

Chief Executive Officer

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Email 2

RE: AUSTRAC - The Perth Mint [EXTERNAL] [SEC=OFFICIAL]

s 22(1)(a)(ii)

Fri 16/12/2022 8:15 AM

To:

Jason Waters <s 22(1)(a)(ii)>

Flag for follow up. Completed on Monday, 16 January 2023.

You forwarded this message on 16/01/2023 9:51 AM

OFFICIAL

Good morning Mr Waters,

Thank you for your email below to CEO Rose. Ms Rose is currently on leave but I will ensure the below is brought to her attention when she returns to the office early January.

I wish you the best for the festive season and trust you will get a break over the new year.

With kindest regards

s 22(1)(a)(ii)

Senior Executive Assistant to
Nicole Rose PSM
Chief Executive Officer

P
E: s 22(1)(a)(ii)

@AUSTRAC W: www.austrac.gov.au

OFFICIAL

From: Jason Waters <s 22(1)(a)(ii)>

Sent: Thursday, 15 December 2022 5:43 PM

To: Nicole Rose <s 22(1)(a)(ii)>
Subject: AUSTRAC - The Perth Mint [EXTERNAL]

Perth Mint - Confidential

Hello Nicole,

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In the meantime I hope you have a Merry Christmas and enjoy a break over the new year.

Kind regards,

Jason Waters

Jason Waters
Chief Executive Officer

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Email 3

FW: AUSTRAC - The Perth Mint [EXTERNAL] [SEC=OFFICIAL]

Nicole Rose

Mon 16/01/2023 9:51 AM

To:

s 22(1)(a)(ii)

From: s 22(1)(a)(ii)

Sent: Friday, 16 December 2022 8:15 AM

To: Jason Waters s 22(1)(a)(ii) >

Subject: RE: AUSTRAC - The Perth Mint [EXTERNAL] [SEC=OFFICIAL]

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s 22(1)(a)(ii)

Senior Executive Assistant to
Nicole Rose PSM
Chief Executive Officer

P
E: s 22(1)(a)(ii)

@AUSTRAC W: www.austrac.gov.au

OFFICIAL

From: Jason Waters <s 22(1)(a)(ii) >
Sent: Thursday, 15 December 2022 5:43 PM
To: Nicole Rose <s 22(1)(a)(ii) >
Subject: AUSTRAC - The Perth Mint [EXTERNAL]

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Kind regards,

Jason Waters

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Jason Waters

Chief Executive Officer

T. [s 22\(1\)\(a\)\(ii\)](tel:0894422222) M. [s 22\(1\)\(a\)\(ii\)](tel:0894422222) W. perthmint.com

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Email 4

Introductory meeting with Jason Waters (CEO Perth Mint) & Nicole Rose (CEO AUSTRAC)
[SEC=OFFICIAL]

Fri 20/01/2023 12:59 PM

External Meetings

OFFICIAL

Please call my number below on arrival in the foyer of 4 National Circuit.

Unfortunately we do not have public access to Level 2.

With kindest regards

s 22(1)(a)(ii)

Executive Assistant to the CEO

P
E s 22(1)(a)(ii)

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E discovery screen

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