

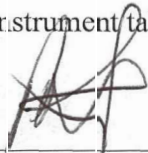
**DELEGATION OF POWERS AND FUNCTIONS UNDER THE ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM  
FINANCING ACT 2006 – INSTRUMENT NO. 3 OF 2023**

I, Peter Soros, Acting Chief Executive Officer of the Australian Transaction Reports and Analysis Centre (AUSTRAC), acting under subsection 222(1) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act):

- (a) revoke the instrument of delegation made under subsection 222(1) of the AML/CTF Act dated 27 April 2023; and
- (b) delegate to the members of the staff of AUSTRAC who from time-to-time hold or occupy a position listed, or a position at the classification(s) specified, in column 1 of an item in a Schedule, my powers and functions that are set out in column 2 of that item in the same Schedule and described in column 3 of that item in that same Schedule. The delegation Schedules are:
  - (i) in relation to my functions and powers under the AML/CTF Act – the functions or powers set out in Schedule 1;
  - (ii) in relation to my functions and powers under the *Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1)* (as amended) – the functions or powers set out in Schedule 2;
  - (iii) in relation to my functions and powers under the *Financial Transaction Reports Act 1988* – the functions or powers set out in Schedule 3;
  - (iv) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution (Collection) Act 2011* – the functions or powers set out in Schedule 4;
  - (v) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution Act 2011* – the functions or powers set out in Schedule 5.

In each item in each Schedule the wording in column 3 describes the powers and functions being delegated in column 2, but does not form part of the delegation unless the wording in column 3 states that it forms part of the delegation.

This instrument takes effect on 1 November 2023.



Peter Soros

Acting CHIEF EXECUTIVE OFFICER, AUSTRAC

30 / 10 / 2023

Schedule 1 – Delegation of powers and functions of the AUSTRAC CEO under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

Column 1	Column 2	Column 3
s	22(1)	(a)(ii)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

Column 1	Column 2	Column 3
<p style="font-size: 48pt; margin: 0;">s 22(1)(a)(ii)</p> <p style="color: red; opacity: 0.5; transform: rotate(-45deg); font-size: 14pt; margin: 0;">Released by AUSTRAC under the FOI Act 1982 on 18 November 2024</p>		
<p>Deputy Chief Executive Officer National Manager, Legal and Enforcement National Manager, Regulatory Operations National Manager, Reform Policy and Mutual Evaluation</p>	<p>Subsection 248(1)</p>	<p>By written instrument:</p> <ul style="list-style-type: none"> <li>(a) exempt a specified person from one or more specified provisions of the AML/CTF Act; or</li> <li>(b) declare that the AML/CTF Act applies in relation to a specified person as if one or more specified provisions of this Act were modified</li> </ul>

Column 1	Column 2	Column 3
		as specified in the declaration.
Deputy Chief Executive Officer National Manager, Legal and Enforcement National Manager, Regulatory Operations National Manager, Reform Policy and Mutual Evaluation	Paragraph 248(6)(d)	Give a person a copy of a declaration made under paragraph 248(1)(b)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

**DELEGATION OF POWERS AND FUNCTIONS UNDER THE *ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM FINANCING ACT 2006* – INSTRUMENT NO. 1 OF 2024**

I, Brendan Thomas, Chief Executive Officer of the Australian Transaction Reports and Analysis Centre (AUSTRAC), acting under subsection 222(1) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act):

- (a) revoke the instrument of delegation made under subsection 222(1) of the AML/CTF Act dated 30 October 2023; and
- (b) delegate to the members of the staff of AUSTRAC who from time-to-time hold or occupy a position listed, or a position at the classification(s) specified, in column 1 of an item in a Schedule, my powers and functions that are set out in column 2 of that item in the same Schedule and described in column 3 of that item in that same Schedule. The delegation Schedules are:
  - (i) in relation to my functions and powers under the AML/CTF Act – the functions or powers set out in Schedule 1;
  - (ii) in relation to my functions and powers under the *Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1)* (as amended) – the functions or powers set out in Schedule 2;
  - (iii) in relation to my functions and powers under the *Financial Transaction Reports Act 1988* – the functions or powers set out in Schedule 3;
  - (iv) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution (Collection) Act 2011* – the functions or powers set out in Schedule 4;
  - (v) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution Act 2011* – the functions or powers set out in Schedule 5.

In each item in each Schedule the wording in column 3 describes the powers and functions being delegated in column 2, but does not form part of the delegation unless the wording in column 3 states that it forms part of the delegation.

This instrument takes effect on the day it is signed.



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Brendan Thomas  
**CHIEF EXECUTIVE OFFICER, AUSTRAC**  
14 August 2024

Schedule 1 – Delegation of powers and functions of the AUSTRAC CEO under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

Column 1	Column 2	Column 3
s	22(1)	(a)(ii)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

Column 1	Column 2	Column 3
<h1>s 22(1)(a)(ii)</h1>		
Senior Executive Service Band 2 Senior Executive Service Band 1	Subsection 248(1)	By written instrument: (a) exempt a specified person from one or more specified provisions of the AML/CTF Act; or (b) declare that the AML/CTF Act applies in relation to a specified person as if one or more specified provisions of this Act were modified as specified in the declaration.
Senior Executive Service Band 2 Senior Executive Service Band 1	Paragraph 248(6)(d)	Give a person a copy of a declaration made under paragraph 248(1)(b)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

**DELEGATION OF POWERS AND FUNCTIONS UNDER THE ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM  
FINANCING ACT 2006 – INSTRUMENT NO. 1 OF 2023**

I, Nicole Rose PSM, Chief Executive Officer of the Australian Transaction Reports and Analysis Centre (AUSTRAC), acting under subsection 222(1) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act)

- (a) revoke the instrument of delegation made under subsection 222(1) of the AML/CTF Act dated 11 January 2023; and
- (b) delegate to the members of the staff of AUSTRAC who from time-to-time hold or occupy a position listed, or a position at the classification(s) specified, in column 1 of an item in a Schedule, my powers and functions that are set out in column 2 of that item in the same Schedule and described in column 3 of that item in that same Schedule. The delegation Schedules are:
  - (i) in relation to my functions and powers under the AML/CTF Act – the functions or powers set out in Schedule 1;
  - (ii) in relation to my functions and powers under the *Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1)* (as amended) – the functions or powers set out in Schedule 2;
  - (iii) in relation to my functions and powers under the *Financial Transaction Reports Act 1988* – the functions or powers set out in Schedule 3;
  - (iv) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution (Collection) Act 2011* – the functions or powers set out in Schedule 4;
  - (v) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution Act 2011* – the functions or powers set out in Schedule 5.

In each item in each Schedule the wording in column 3 describes the powers and functions being delegated in column 2, but does not form part of the delegation unless the wording in column 3 states that it forms part of the delegation.

This instrument takes effect on 1 May 2023.



Nicole Rose PSM  
CHIEF EXECUTIVE OFFICER, AUSTRAC

27 April 2023



Schedule 1 – Delegation of powers and functions of the AUSTRAC CEO under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

Column 1	Column 2	Column 3
s	22(1)	(a)(ii)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

Column 1

Column 2

Column 3

s 22(1)(a)(ii)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

Deputy Chief Executive Officer  
National Manager, Legal and Enforcement  
National Manager, Regulatory Operations  
National Manager, Reform Policy and Mutual  
Evaluation

Subsection 248(1)

By written instrument:

- (a) exempt a specified person from one or more specified provisions of the AML/CTF Act; or
- (b) declare that the AML/CTF Act applies in relation to a specified person as if one or more specified provisions of this Act were modified

Column 1	Column 2	Column 3
		as specified in the declaration.
Deputy Chief Executive Officer National Manager, Legal and Enforcement National Manager, Regulatory Operations National Manager, Reform Policy and Mutual Evaluation	Paragraph 248(6)(d)	Give a person a copy of a declaration made under paragraph 248(1)(b)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

**DELEGATION OF POWERS AND FUNCTIONS UNDER THE ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM  
FINANCING ACT 2006 – INSTRUMENT NO. 3 OF 2021**

I, Nicole Rose PSM, Chief Executive Officer of the Australian Transaction Reports and Analysis Centre (AUSTRAC), acting under subsection 222(1) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act):

- (a) revoke the instrument of delegation made under subsection 222(1) of the AML/CTF Act dated 25 June 2021; and
- (b) delegate to the members of the staff of AUSTRAC who from time-to-time hold or occupy a position listed, or a position at the classification(s) specified, in column 1 of an item in a Schedule, my powers and functions that are set out in column 2 of that item in the same Schedule and described in column 3 of that item in that same Schedule. The delegation Schedules are:
  - (i) in relation to my functions and powers under the AML/CTF Act – the functions or powers set out in Schedule 1;
  - (ii) in relation to my functions and powers under the *Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1)* (as amended) – the functions or powers set out in Schedule 2;
  - (iii) in relation to my functions and powers under the *Financial Transaction Reports Act 1988* – the functions or powers set out in Schedule 3;
  - (iv) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution (Collection) Act 2011* – the functions or powers set out in Schedule 4;
  - (v) in relation to my functions and powers under the *Australian Transaction Reports and Analysis Centre Industry Contribution Act 2011* – the functions or powers set out in Schedule 5.

In each item in each Schedule the wording in column 3 describes the powers and functions being delegated in column 2, but does not form part of the delegation unless the wording in column 3 states that it forms part of the delegation.

This instrument takes effect on the day after it is signed.



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Nicole Rose PSM  
**CHIEF EXECUTIVE OFFICER, AUSTRAC**  
9 September 2021

Schedule 1 – Delegation of powers and functions of the AUSTRAC CEO under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

Column 1	Column 2	Column 3
s	22(1)	(a)(ii)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024

Column 1	Column 2	Column 3
Deputy Chief Executive Officer National Manager, Legal and Enforcement National Manager, Regulatory Operations National Manager, Education, Capability and Communications	Subsection 248(1)	By written instrument: (a) exempt a specified person from one or more specified provisions of the AML/CTF Act; or (b) declare that the AML/CTF Act applies in relation to a specified person as if one or more specified provisions of this Act were modified as specified in the declaration.
Deputy Chief Executive Officer National Manager, Legal and Enforcement National Manager, Regulatory Operations National Manager, Education, Capability and Communications	Paragraph 248(6)(d)	Give a person a copy of a declaration made under paragraph 248(1)(b)

Released by AUSTRAC under the FOI Act 1982 on 18 November 2024